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#### ABSTRACT

The California study, based on data from a national survey, compared accuracy and completeness of information on 7,182 chronic health conditions of persons, 17 years of age or older, given in household interviews with diagnostic information given by group medical plan physicians. Overreporting referred to conditions reported in the interview which were not specified in similar words in the medical record. Underreporting referred to conditions reported with specific words in the interview which were not recorded in the medical record. Some of the findings indicated that estimated underreporting in interviews was 46.8 0/0; that estimated overreporting was 40 0/0; that conditions with low indexes of underreporting and overreporting included diabetes, central nervous system disorders, heart conditions, gallbladder disease, and absence of digits; that underreported conditions included mental illness of a specified type, menstrual disorders, and skin diseases; and that overreported conditions included asthma, hypertension, visual and aural impairments, and speech defects. Overreported or underreported conditions coincided with one patient-physician contact, whereas the proportion of comparative accuracy increased with increased patient-physician contact. Tables, samples of questionnaires, a sample of the physician visit record, a diagnostic record, and the sampling design comprise over half the document. (MC)



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# Net Differences in Interview Data on Chronic Conditions and Information Derived From Medical Records

U. S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE Public Health Service Health Services and Mental Health Administration



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Vital and Health Statistics-Series 2-No. 57



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Series 2 Number 57

# Net Differences in Interview Data on Chronic Conditions and Information Derived From Medical Records

A methodological study of the completeness and accuracy with which chronic conditions are reported by health plan enrollees in household interviews as compared with information recorded by physicians.

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> Health Services and Mental Health Administration National Center for Health Statistics Rockville, Md. June 1973



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Under the legislation establishing the National Health Survey, the Public Health Service is authorized to use, insofar as possible, the services or facilities of other Federal, State, or private agencies,

In accordance with specifications established by the Health Interview Survey, the Bureau of the Census, under a contractual arrangement, participates in most aspects of survey planning, selects the sample, and collects the data.

Vital and Health Statistics-Series 2-No.57

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# FOREWORD

A continuing concern and effort of the National Center for Health Statistics has been to better assess the effectiveness of its survey data collection mechanisms. Through the means of household interviews, examination surveys, and record surveys, a large variety of data, some of it overlapping, has been collected. Program plans and objectives have made it imperative that research be conducted to evaluate the strengths and weaknesses of the various surveys and thus to concentrate the efforts on those objectives best performed in each particular survey.

Important questions with respect to interview surveys have continued to be How complete is the reporting of chronic conditions by household respondents? and What is the value of condition data collected by household interviews? A large-scale study was conducted in collaboration with the Health Insurance Plan of Greater New York to compare the information collected in household interviews with that found in existing medical records. (See "Health Interview Responses Compared with Medical Records," Vital and Health Statistics. PHS Pub. No. 1000-Series 2-No. 7.) This study probed many facets of the agreements and disagreements to be found in such comparisons. It also indicated the need for a more sophisticated study plan which would utilize a prospective record source designed to control for differences in communication between physician and patient, for the duration of the condition, and for some measures of the impact of the condition as correlates of the measures of completeness of reporting in health interviews.

Such a study was planned as a contract project with the extensive collaboration of the Stanford Research Institute, the Kaiser Foundation Health Plan (Southern California Region), Southern California Permanente Medical Group, the U.S. Bureau of the Census, and the National Center for Health Statistics. The first report (Series 2, No. 23), is a description of the study, in which the chronic illnesses and impairments reported by a sample of persons in household interviews were compared with the chronic illnesses and impairments found in specially prepared medical records. The study population consisted of a sample of members of a prepaid medical and hospitalization plan.

The general objectives of the study were:

- 1. Ascertaining the extent of reporting by respondents in household interviews of conditions for which medical care was sought over a period of 12 months.
- 2. Relating the extent of reporting of conditions to some measures of communication between physician and patient; to the relative impact of the condition in terms of duration and number of physician visits; and to type of treatment.
- 3. Experimenting with different versions of the health interview questionnaire.

This is the second report from the study and it deals primarily with overreporting and underreporting of specific chronic conditions in household interviews.

Dr. William G. Madow of the Stanford Research Institute served as project officer for this study and was responsible for the preparation of this report. Mrs. Louise Bollo served as nosologist, and Mrs. Geraldine Gleeson performed major editorial service in preparing the report for publication.

> Elijah L. White. Director, Division of Health Interview Statistics

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# NET DIFFERENCES IN INTERVIEW DATA ON CHRONIC CONDITIONS AND INFORMATION DERIVED FROM MEDICAL RECORDS

William G. Madow, Ph.D., Stanford Research Institute

## OBJECTIVES AND GENERAL FINDINGS

#### Background

As a part of its continuing program of studies designed to evaluate the accuracy and completeness of diagnostic information obtained by household interview, the Health Interview Survey contracted with the Stanford Research Institute to do a study comparing responses to health interviews with medical records. The population used for the study was a sample of the members of the Kaiser Foundation Health Plan (KFHP) Southern California Region-a large prepayment medical plan providing services through the Southern California Permanente Medical Group (SCPMG) and hospitalization through Kaiser Foundation hospitals. The data collection phase of the study consisted of completing medical records created specifically for the study and then interviewing the persons for whom these records were maintained.

#### **Content of Earlier Report**

The general findings of the study, together with conclusions and recommendations pertinent to the interview survey, are presented in an earlier publication issued by the National Center for Health Statistics entitled "Interview Data on Chronic Conditions Compared With Information Derived from Medical Records" (Vital and Health Statistics, Series 2, No. 23). That report also includes a description of the background and objectives of the project and some of the problems encountered during the conduct of the study.

As in other evaluative studies of this kind, a principal finding was that a certain proportion of conditions listed in the medical records were not reported in the household interviews. In general the unreported conditions tended to be those for which there was little, if any, impact on the person involved. Respondents reported more fully on conditions important to them and reported less well on conditions of lesser subjective importance.

Most researchers, while doing their utmost to reduce errors of response, are aware of the fact that there are errors of reporting in two directions: understatement and overstatement. The costs and difficulties of eliminating response bias are often so great that researchers take advantage of the extent to which understatements and overstatements balance one another in individual estimates, i.e., the size of the net response bias. In some instances, relationships may hold up even when individual estimates are subject to fairly large errors of reporting. By considering only whether conditions found in the medical records had been reported in the household interviews, the earlier report considered the gross error of reporting in only one direction. No attempt was made to investigate the extent to which underreporting of conditions found in the medical records was balanced by overreporting of conditions in the household interview.

The general purpose of the present report is to investigate the extent and magnitude of net dif-



ferences in the conditions reported in household interviews and those recorded in the Physician Visits Record Summary.<sup>1</sup>

On the whole, while differences do exist, there is a tendency for the gross errors to balance out and for the net bias to be relatively small, particularly in view of the frequent vagueness and uncertainty in diagnosis and the lack of precision with which patients understand diagnoses. Even though only a small number of comparisons have been made here, it seems that the differences between chronic conditions in the medical records (PVRS's) for the study year and those reported in the household interviews are small enough that the findings can be used for some evaluative purposes. The large sizes of the gross errors, however, still require attempts to improve the data.

# PLANNING AND CONDUCTING THE STUDY

#### Earlier Research on Health Interview Data

Recognition of the fact that information on illness collected by household interview does not reflect a complete and accurate account of all chronic conditions present in a population led to a number of research studies during the early years of the National Health Survey. In one of these studies,<sup>2</sup> carried out by contractual arrangement with the Health Insurance Plan of Greater New York (HIP), the use of medical services for a condition during a given year was established from records maintained by HIP, and information collected by interviews was examined in relation to this criterion source. The record source in this study was the reporting document

(Med 10) which HIP physicians submitted to the central office in accordance with operational procedures of the Plan. These records, consisting of single-line entries on an administrative form, were used instead of the entries on the patient's clinical chart pecause the wide geographic dispersal of the medical groups and the variety of recordkeeping systems precluded the examination of all physician entries for a given individual. Since the Med 10 form gave no medical history, evaluation of symptoms, nor weighing of differential diagnoses, conditions and their chronicity were inferred from the records. While studies carried out within HIP have indicated that the Med 10 is a reliable document for statistical purposes, it was somewhat less than ideal for use as a criterion relating to the presence of diagnosed chronic conditions.

While the retrospective study conducted by HIP yielded valuable information, it was felt that its findings should be confirmed in a different population and that other aspects of interviewing problems could be investigated in a prospective record-check study. This plan for further research led to the arrangement with the Stanford Research Institute (SRI) to conduct such a study. Some of the comparative features of the two studies are discussed later.

#### Data Collection for the SRI Study

An important innovation in planning the prospective study undertaken by SRI was the creation of medical records to be used especially for the study-the Physician Visit Record (PVR)-which was filled out by the physician following each physician-patient visit. In preparing the PVR, summarized in the Physician Visits Record Summary (PVRS), the physicians were asked to enter any diagnosis (condition) impression or symptom that was considered, noted in the record, or mentioned by either the physician or the patient. The condition category noted in the record referred to conditions that the doctor had entered in the patient chart regularly filled out after each visit. It was quite possible that the patient had various conditions never mentioned in his meetings with the physician during the study year; such conditions would not have been entered on the PVR and

<sup>&</sup>lt;sup>1</sup>A form—the Physician Visit Record—was filled out by the physician for each sample person after each visit to SCPMG during the study year. At the end of the study year, the Physician Visit Records were summarized for each person; this summary is called the Physician Visits Record Summary. For more detailed information on this form, see Series 2, No. 23, page 7.

<sup>&</sup>lt;sup>2</sup>"Health Interview Responses Compared With Medical Records," Series 2, No. 7.

thus would not have been summarized on the PVRS. The physician did not always enter on the PVR all conditions that he noted on the patient chart during a visit.

Some patients received part or all of their medical care outside SCPMG, Conditions reported by such patients would not necessarily appear in their medical records maintained at SCPMG. In estimating net differences it therefore seemed desirable to limit the study to data for persons who reported that they had used only SCPMG as a source of medical assistance during the study year. The study included only those conditions which were entered in the medical records or about which the respondent said he had spoken with a physician during the year. Because of these limitations the basic comparison in this report is between conditions found in the medical records (PVRS's) created for this study and conditions reported in the household interviews conducted after the completion of the study year.

The interviews, which were conducted by the U.S. Bureau of the Census acting as collecting agent for the Division of Health Interview Statistics, National Center for Health Statistics, pertained to conditions which were diagnosed or for which medical treatment had been received during the study year. The formats of the questionnaire used in the interviews, the Physician Visit Record, and the Physician Visits Record Summary are shown in appendix I of this report. A description of the sample design can be found in appendix III.

The questionnaire and corresponding PVRS for each patient were sent to the Division of Health Interview Statistics, which undertook demographic and medical coding of the study data. Transcription sheets were prepared, and chronic conditions on the questionnaire and PVRS were identified, compared, and matched.

#### Analysis of the Data

Once the chronic conditions had been identified, they were assigned the three- and four-digit diagnostic codes of the Seventh Revision of the International Classification of Diseases (ICD). The codes were summarized into a classification of 50 diagnostic categories similar to the Recode 3 used in the Health Interview Survey with each of these 50 classes consisting of chronic conditions with specified codes. (See appendix II.)

If a chronic condition on the PVRS and a condition on the questionnaire had ICD codes within the same recode class, the conditions were assigned match code  $A_{*}$ 

If a chronic condition on the PVRS and a condition on the questionnaire had ICD codes that were not within the same recode class but appeared to be associated, the conditions were assigned *match code B*. (It is recognized that code B is not sharply defined.)

Chronic conditions on the PVRS which were not assigned either match code A or match code B were assigned *code C*. A code C condition on the PVRS had no associated condition on the questionnaire.

If a chronic condition on the questionnaire was not assigned either match code A or B, it was assigned code D, meaning that there was no associated condition on the PVRS. Code D conditions about which the respondent reported that he had seen or spoken to a physician in the preceding 12 months were analyzed separately as D12 conditions. Code D conditions for which the respondent did not report receiving medical services during the 12-month period are D+ conditions.

# CHRONIC CONDITIONS BY TYPE OF MEDICAL SERVICES USED

As mentioned earlier, in order to consider the net effects of reporting errors, it was necessary to limit the study to persons who had utilized only SCPMG for medical services and only Kaiser Foundation hospitals for hospital services. Those interviewed were asked about the physicians they had contacted and hospitals they had used for their medical services during the study year and were then asked to authorize examination of their medical records.

#### Type of Medical Services

Table 1 shows the distribution of chronic conditions in the medical records and household interviews and of persons with chronic conditions according to the type of medical service utilized. The four classifications of these data are based on what physicians and hospitals the respondent



reported using during the study year: (1) SCPMG and Kaiser Foundation hospitals only, (2) other physicians and hospitals in addition to SCPMG and Kaiser Foundation hospitals, (3) only non-SCPMG physicians and hospitals, (4) no physician or hospital services at all. The tabulation excludes persons reported by both the medical records (PVRS's) and the questionnaire as having no chronic conditions.

Approximately 67 percent of all conditions recorded in the PVRS's and/or reported in the interviews were for persons who reported in the interview that they had received only SCPMG services and whose utilization status was verified in the PVRS. An additional 15 percent of the conditions were for persons who had received services from other medical facilities as well as from SCPMG, according to entries on the questionnaire and the PVRS. For approximately 9 percent of the conditions both PVRS and interview indicated that either no SCPMG services or no medical services whatever had been received.

It should be pointed out that the 245 persons (shown in table 1) who reported the receipt of services from "SCPMG only" according to the PVRS but reported "No utilization" in the household interview could have used the services of physicians and hospitals other than SCPMG. According to the medical records these respondents were seen by an SCPMG physician or were hospitalized in a Kaiser Foundation hospital for 596 conditions during the study year. These respondents may also have had conditions that were diagnosed by physicians or in hospitals outside SCPMG, but rather than have a separate category for "SCPMG and possible others" it was decided to categorize them as "SCPMG only."

An additional 172 conditions were recorded in the medical records (PVRS's) of persons who reported in the interview that they had used only non-SCPMG medical services. These may be viewed as reflecting a memory defect.

The classification of utilization for determining whether the person had utilized SCPMG was based on his PVRS, but the respondent's statements with respect to outside utilization or no utilization were accepted when they were not in conflict with the medical records (PVRS's).

There were 470 conditions reported by respondents who said they had used only SCPMG services for which no record of SCPMG usage during the study year could be found on the PVRS's. Again, this seems to indicate a memory failure.

#### Distribution of Chronic Conditions

Of the 15,417 conditions found in either the medical records or in the household interview questionnaire, 14,099, or approximately 91 percent, were reported in the same manner in both sources with respect to the utilization of medical services. Approximately 88 percent (4,445 out of a total of 5,027) of the respondents reported the same utilization of medical services as was reported in the medical records.

For persons who either reported at least one chronic condition or had a chronic condition recorded on their PVRS, table 1 shows the number of chronic conditions per person according to the utilization of medical services as reported by the respondent and recorded on the PVRS. Persons who, according to both PVRS and questionnaire, utilized not only SCPMG but also other treatment facilities had about 20 percent more conditions per person than those who utilized SCPMG only, and persons in both of these categories had at least 50 percent more conditions per person than those who utilized only non-SCPMG sources and those who had no medical or hospital services.

The distribution of chronic conditions by type of match (A, B, C, D12, or D+) according to utilization as recorded on the PVRS and reported in the interview is shown in table 2.

More than one-third of the 15,417 conditions reported in the interview and/or recorded in the PVRS were conditions reported in the interview only for which the person did not report receiving medical services during the 12-month period preceding the interview (D+ conditions). Since the absence of medical treatment during the year precludes their being recorded in the PVRS's, these conditions are not considered in the rest of this report.

Of the 6,140 conditions recorded in the PVRS's (match categories A, B, and C, shown in the first line of table 2), 3,359, or approximately 55 percent, were also reported in the interview (match categories A and B). When conditions are restricted to those of persons who received SCPMG services only, the percentage is slightly less, 54 percent.

# NET DIFFERENCES IN INTERVIEW REPORTS AND MEDICAL RECORDS

#### Underreporting and Overreporting ot Chronic Conditions

An important consideration in this study is determining if there is an interchange between the conditions identified as C conditions (reported only in the records) and D12 conditions (reported only in the interviews), i.e., whether the words used by the patient and doctor in describing the same condition are sufficiently different from one another to preclude an A or B match.

Table 3 provides some information on, whether a person tends to have equal numbers of C (underreported) and D12 (overreported) conditions. The table shows, for persons who utilized only SCPMG services, the distribution of conditions by number of D12 conditions and number of C conditions. (In both cases, the maximums shown in the table are correct; i.e., no individual had more than five C conditions or eight D12 conditions.) Clearly the association between C and D12 conditions is not great. Of the 3,401 persons who utilized only SCPMG services, 998, or 29.3 percent, had neither a C nor a D12 condition. An additional 228 persons, 6.7 percent, had the same number of C and D12 conditions, and 1,476 persons, 43.4 percent, had numbers of C and D12 conditions that differed by one.

Among the sources of difference may be the reporting of an ailment as a single condition in one source and as more than one condition in the other source. Nonetheless, it is not reasonable to assume from the findings of this study that respondents were reporting C conditions as D12 conditions because of their failure to understand the nature of their conditions.

The basic measures of completeness of reporting in this study are presented in table 4 and summarized as indexes of reporting differences in table 5.

It is evident from table 4 that the number of conditions reported in the medical records (5,279) for persons who had received only SCPMG services during the 12 months prior to interview was roughly 12 percent higher than the number of conditions reported in the household interview (4,714). This difference is due entirely to condi-

tions on the PVRS only (C conditions) or reported in the household interview only (D12 conditions), and there are approximately 30 percent more C than D12 conditions. However, if one compares the percentages for all conditions reported in the PVRS's and all conditions reported in the household interviews, the agreement is fairly good, and the differences that do wist seem to be logical. For example, one of the larger differences is in the category "mental illness, specified types, not elsewhere classified." There is little difference in the less specific category "ill-defined mental and nervous trouble," and, in addition, when the questionnaires were examined the entries found in the medical records for such conditions had names such as "anxiety," or "tension," or other words that might not appear to a patient permanently living with such conditions to be the medical reasons for which he had consulted a doctor. On the whole, considering the tendency to underreport mental illness and other illnesses that the respondent believes to be socially unacceptable, lack of agreement between medical records and interview data in this instance is not unexpected.

#### Net Reporting Differences

In a study of this kind it is difficult to establish a proper denominator to compute a single index which will reflect the net reporting differences. As an alternative, two indexes are presented in table 5. One is the proportion of conditions found in the medical records but not reported in the interviews (an estimate of underreporting), and the other, the proportion of conditions reported in the interviews but not found in the records (an estimate of overreporting). Since these indexes, shown for each diagnostic category, are derived from the data shown in table 4, they are based on information about persons who used SCPMG services only for conditions that had been medically attended during the 1-year period covered by the PVRS's.

When both of the indexes for a particular diagnostic category are comparatively low, it can be expected, on the basis of this study, that conditions within the category will be reported in an interview with a fair degree of accuracy. If, in addition, the indexes are of the same general magnitude, the gross prevalence produced from interview data will approximate the unbiased estimate of the true prevalence level in the population. If, on the other hand, either or both of the indexes for a category are high, then the estimates from the interview must be cor 'dered as suspect, even though two high indexes are same magnitude will produce an approximate gross prevalence.

Conditions with low indexes of underreporting and overreporting, which might be expected to be reported with a fair degree of accuracy and completeness in a household interview, include diabetes, vascular lesions of the central nervous system, heart conditions, diseases of the gallbladder, and absence of fingers and toes.

In evaluating this material it should be kept in mind that overreporting may have resulted from the fact that record data were limited to those conditions for which a person had seen an SCPMG physician during the year. Thus, respondents could have reported in the interview conditions of long duration or even presently inactive conditions they had many years ago which were not noted in the current medical records. This possibility may explain some part of the overreporting in such categories as tuberculosis, rheumatic fever, sinusitis, bronchitis, and severe visual impairment.

Furthermore, data on the accuracy of chronic condition reporting in household interviews, shown in tables 4 and 5, should be interpreted in the light of some findings presented in the earlier report on this project (Series 2, No. 23). Some of the more pertinent paragraphs from the earlier publication that describe several of the shortcomings of the study follow.

Communication between physician and patient seemed to vary considerably from condition to condition. Often in the discussion, reference is made to the fact that something was or was not entered during the visits at which the physician reported the condition on the PVRS....

For 31.3 percent of the 6,140 conditions recorded on the PVRS, the physician stated that during no visit during the study year had he told the patient the actual diagnosis or a diagnosis codable to the actual diagnosis. Similarly, for about 51 percent of the conditions the physician stated that during no visit had the patient told him either the actual diagnosis or used a term codable to the actual diagnosis—i.e., neither a formal diagnostic statement, lay terms, nor symptom statements related to the diagnosis had been used by the patient during his visits to the physician.

Sometimes in speaking to a patient a physician emphasizes the condition from which the patient is suffering and sometimes he does not. For 54 percent of the conditions, the physician claimed that during no visit had he made a particular point of the diagnosis in discussing the condition with the patient.

The physician was asked to enter on the PVR whether the patient reported having pain or emotional stress or spending at least 1 day in bed during the week preceding the patient's visit. Approximately 70 percent of the conditions were such that at no visit did the physician indicate on the PVR that the patient had had pain or emotional stress during the preceding week. For about 10 percent of the conditions, the physician stated that the patient had said he had spent at least 1 day in bed during the preceding week.

Even though the percentages quoted above pertain to all conditions in SCPMG records (A, B, and C conditions in table 2), it is reasonable to assume that they also apply to conditions among persons receiving SCPMG services only.

#### Completeness of Reporting by Frequency

#### of Physician Visits

Shown in tables 6 and 7 are distributions of conditions by number of physician visits they caused during the study year based on information from the PVRS's (table 6) and by number of physician contacts reported in interviews (table 7). The data used for physician contacts were those stated by the respondent on the questionnaire. For 366 conditions table 7 shows no physician contact, but data from the PVRS's show that at least one SCPMG physician had been consulted. If these 366 conditions are included with the 1,592 conditions for which one contact was reported (table 7), the comparison of the percentages in the two tables,



while certainly not perfect, is sufficiently close to provide information for evaluative purposes. This is true with respect to all conditions and also with respect to the specific comparison of C and D12 conditions. There is some tendency for the number of physician contacts reported for conditions in the interview to be higher than the actual number of visits recorded in the PVRS because some of the physician contacts may have been by telephone rather than by personal visit.

A high proportion of the conditions that were underreported (C conditions in table 6) and overreported (D12 conditions in table 7) consisted of those for which a single physician visit or contact was made during the study year. As the number of visits increased, the percent of conditions underreported or overreported declined sharply. This pattern indicates that increased opportunity for communication with the physician improves the ability of a respondent to report his conditions in an interview with accuracy and completeness.

#### Completeness of Reporting by Presence or

#### Absence of Medication

In tables 8 and 9 the distribution of conditions included in the medical records and those reported in the household interviews is shown by type of match according to whether or not the person was taking medicine for the condition. Approximately 56 percent of the conditions for which the medical records indicated that no medication had been prescribed were not reported in household interviews (C match conditions in table 8). Only 33 percent of those conditions for which medication had been prescribed were not named during the interviews. The impact of frequent medical attention and regular medication, shown in tables 6 and 8, is effective in reducing the amount of underreporting in the household interview.

Overreporting of conditions was not unduly influenced by whether or not the respondent was taking medication. About 38 percent of those conditions reported in interviews as requiring medication were not found in the medical records. The comparable proportion for those conditions with no medication during the study year was 45 percent (table 9).

### Completeness of Reporting by Sex and Age

The distribution by sex of conditions recorded in PVRS's and reported in household interviews according to match code indicates that the proportion of conditions in all categories was much higher among women than among men (table 10). However, there was very little difference between the sexes in the underreporting of conditions; about 46 percent of the conditions for men shown in the medical records were not reported in the interview, while a very comparable percentage among women was 47 percent, However, the amount of overreporting was somewhat less among males than among females. No evidence of approximately 37 percent of the conditions reported in the interview by males was found in the records; among females the comparable percentage was 45 percent. Slightly more than two-thirds of all of the conditions recorded in the medical records for both sexes were among persons 35-64 years of age. About three-fourths of the recorded conditions not reported in the interview were among persons in this age range (table 11). When conditions in this age group are considered by type of match, the proportion of those in the records that were not reported in the interview was about the same among men and women. However, comparable percentages shown in table 12 indicate that the proportion of conditions overreported in this age range was substantially higher for women than for men.

The scemingly high percentage of conditions underreported by women 17-24 years of age, shown in table 11, can be attributed to the small numbers of total conditions among persons in this age group. The instability of the numbers in this age group may also account for the high rates of overreporting (table 12).

For persons 65 years and older, the accuracy and completeness of reporting was substantially greater among women than among men. The percentages summarized from tables 11 and 12

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and shown in table A indicate that the A and B match rates were much higher for women and that they underreported and overreported conditions less frequently.

Sex	Proportion of A and B matches based on medical records	Proportion of condi- tions in records that were not re- ported in interview	Proportion of condi- tions re- ported in interview that were not in the records	
Male	56.8	43.2	38.2	
	71.1	28.9	34.6	

Table A. Proportion of chronic conditions reported among persons 65 years and over, by sex

#### Completeness of Reporting by

#### Educational Status

Of all the conditions recorded in the medical records or reported in the interview, essentially one-half of them were among persons with 9-12 vears of education. The distribution of all conditions by education was quite similar to that for recorded conditions that were not reported in interviews (table 13) and for reported conditions for which there was no confirming evidence in the records (table 14). This would indicate that education did not influence the amount of underreporting and overreporting in this study to any appreciable degree with regard to total prevalence of chronic conditions. Most of the disparity in reporting noted among educational groups when they are considered by type of match can be attributed to the small numbers of conditions in some of the groups.

#### Comparability With HIP Study Findings

It is not possible to compare the net differences in interview data and medical record information derived from the HIP study and those of this study because the procedure used in the HIP study did not provide for the measurement of overreporting. Any comparative estimates of the amount of underreporting in the two studies are very rough approximations because it is not possible from available data to restrict the HIP study group to those who had received only services under that insurance plan. However, gross figures indicate that approximately 56 percent of the conditions defined as unqualifiedly chronic in the HIP records were not reported in interviews, while a comparable estimate in the SRI study was 47 percent.

Among disease categories for which data are available, comparatively low rates of underreporting were found in both studies for asthma, hay fever, diabetes, heart conditions, bronchitis, ulcer of the stomach and duodenum, and diseases of the gallbladder. Those conditions which were grossly underreported in both studies include benign and unspecified neoplasms, anemia and other blood disorders, mental illness, respiratory diseases other than bronchitis and tuberculosis. skin diseases, and menopausal and other genitourinary disorders. Findings from both studies indicate that underreporting occurred less frequently among older persons than among children and young adults, and also among those with 10 or more physician visits during the study year than for those who had seen a physician less frequently.

#### SUMMARY

A study designed to measure the accuracy and completeness of the reporting of chronic conditions in health interviews was carried out by the Stanford Research Institute during the early years of the National Health Survey. The sample population was selected from members of the Kaiser Foundation Health Plan, a large prepayment medical plan providing medical services through the Southern California Permanente Medical Group and hospitalization through the Kaiser Plan. Medical records were compared with interview responses from persons for whom the records were maintained. The study design provided for the creation of medical records specifically for this study in order that the project could be conducted on a prospective basis. Interviews were conducted following the completion of physician records maintained on sample persons during a 12-month period.

This report has presented the findings in the phase of the study dealing with the comparative amounts of underreporting and overreporting of chronic conditions in health interviews. The following statements summarize the principal findings of the study.

The total number of chronic conditions recorded in the medical records and/or reported in interviews amounted to 15,417. For this phase of the study the following categories of conditions were excluded: 4,499 conditions that had not been treated exclusively in SCPMG facilities, 3,633 conditions that were reported in interviews as having been treated in SCPMG facilities prior to the 12-month period covered by the study, and 103 conditions for which SCMPG utilization status was not available. These exclusions reduced the group to 7,182 chronic conditions, Of this number, 2,811 conditions were recorded in the medical records and also reported in the interviews, 2,468 conditions were recorded in the records but not reported in interviews, and 1,903 conditions were reported in interviews but not recorded in the medical records.

Reporting indexes derived from these figures (shown in table 5) indicate that the estimate of underreporting in interviews was 46.8 percent and the estimate of overreporting of conditions was 40.4 percent.

When conditions were classified into 50 broad disease categories, it was found that certain kinds of conditions with comparatively low indexes of both underreporting and overreporting might be expected to be reported in an interview with a fair degree of accuracy and completeness. Included were diabetes, vascular lesions of the central nervous system, heart conditions, diseases of the gallbladder, and absence of fingers and toes.

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High indexes of underreporting with rather low proportions of overreporting were noted for such conditions as benign and unspecified neoplasms, mental illness of specified type, menstrual disorders, and skin diseases. These results were not unexpected in the reporting of conditions which might cause embarrassment or reluctance on the part of the respondent.

High indexes of overreporting with a lower degree of underreporting were found in the reporting of hay fever, asthma, tuberculosis, headache and migraine, hypertension, hemorrhoids, rheumatic fever, sinusitis, bronchitis, visual impairments, hearing impariments, and speech defects. It is quite possible that respondents were reporting conditions of long duration or even conditions they had many years ago which were not noted in current medical records.

A high proportion of the conditions that were underreported or overreported consisted of those for which a single physician visit or contact was made during the study year. The sharp increase in the accuracy of reporting as the number of physician visits increased indicates that opportunity for communication with his physician improves the ability of a respondent to report his conditions in an interview. Regular medication for a condition also increases the probability that it will be reported in an interview.

The percentage of underreporting of conditions was about the same for men and women; however, women have a greater tendency to overreport their conditions. For persons 65 years and older, the accuracy and completeness of reporting were substantially higher among women than among men. Education did not influence the amount of underreporting and overreporting in this study to any appreciable degree.



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Table 1. Number and percent distribution of chronic conditions and persons with chronic conditions and number of chronic conditions per person for persons having at least one condition by utilization of medical services as reported in medical records and interviews

Utilization a Medical records	s reported in: Interview	All con- ditions	All persons <sup>1</sup>	Chronic condi- tions per person	All con- ditions	All persons <sup>1</sup>
			Number	<u> </u>	Pérc distrib	
SCPMG only	SCPMG only	10,322	3,156	3.27	67.0	62.8
SCPMG and others	SCPMG and others	2,350	594	3.96	15.2	11.8
Non-SCPMG only	Non-SCPMG only	825	361	2.29	5.4	7.2
No utilization	No utilization	602	334	1.80	3.9	6.6
SCPMG only	.No utilization	596	245	2.43	3.9	4.9
SCPMG and others	Non-SCPMG only	172	67	2.57	1.1	1.3
Non-SCPMG only	SCPMG and others	80	50	1.60	0.5	1.0
No utilization	SCPMG only	470	220	2.14	3.0	4.4
Total		15,417	5,027	•••	100.0	100.0

 $^{1}\mbox{Excludes}$  persons with no reported conditions either on the PVRS or on the question-naire.



Table 2. Number and percent distribution of chronic conditions by utilization of medical services as reported in medical records and interviews, according to type of match

Utilization a	as reported in:			Тур	e of mat	ch	
	·	All condi- tions	Α	В	С	Report intervi	
Medical records	Interview		A	D		D12 <sup>1</sup>	D+
· · · · · · · · · · · · · · · · · · ·			Num	ber of c	ondition	ıs	
SCPMG only	SCPMG only	10,322	1,902	855	2,323	1,996	3,246
SCPMG and others	SCPMG and others	2,350	344	161	285	819 -	741
Non-SCPMG only	Non-SCPMG only	825		• • •	•••	463	362
No utilization	No utilization	602	•••		•••	20	582
SCPMG only	No utilization	596	28	26	145	10	387
SCPMG and others	Non-SCPMG only	172	32	11	28	48	53
Non-SCPMG only	SCPMG and others	80			•••	10	· 70
No utilization	SCPMG only	470	•••		•••	250	220
Total		15,417	2,306	1,053	2,781	3,616	5,661
		P	ercent di	stributi	on of co	nditions	
SCPMG only	SCPMG only	67.0	82.5	81.2	5.ئ®	55.2	57.3
SCPMG and others	SCPMG and others	15.2	14.9	15.3	10.2	22.6	13.1
Non-SCPMG only	Non-SCPMG only	5.4			•••	12.8	6.4
No utilization	No utilization	3.9		• • •	•••	0.6	10.3
SCPMG only	No utilizati <b>o</b> n	3.9	1.2	2.5	5.2	0.3	6.8
SCPMG and others	Non-SCPMG only	1.1	1.4	1.0	1.0	1.3	0.9
Non-SCPMG only	SCPMG and others	0.5		•••	• • •	0.3	1.2
No utilization	SCPMG only	3.0		• • •	•••	6.9	3.9
Total		100.0	100.0	100.0	100.0	100.0	100.0

<sup>1</sup>Excludes conditions for which information on medical attention received during the past 12 months was not available.

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only. D12 = conditions reported in interview only about which respondent said he had contacted a physician during the preceding 12 months. D+ = conditions reported in interview only about which respondent said he had <u>not</u> contacted a physician during the preceding 12 months.



Table 3. Number and percent of persons using SCPMG services only by number of under-reported (match code C) and overreported (match code D12) chronic conditions

Number of D12 conditions <sup>1</sup>	Total	Number of conditions recorded on PVRS only (C match)						
·		0	1	2	3	4	5	
			Number	of per	sons			
All conditions	3,401	1,750	1,057	428	117	41	8	
0	2,123	998	755	263	68	35	4	
1	873	511	194	124	34	6	4	
2	256	145	68	32	11		-	
3	96	61	26	7	2	-	-	
4	. 36	23	13	-	-	-	-	
5	10	7	1	2	· -	-	-	
6	1	1	-	-	-	-	-	
7	5	4	-	-	1	-	-	
8	1	-	- 1	-	1	-	. –	
	ļ		Percen	t of pe	rsons			
All conditions	100.0	51.5	31.1	12.6	3.4	1.2	0.2	
0	62.4	· 29.3	22.2	7.7	2.0	1.0	0.1	
1	25.7	15.0	5.7	3.6	1.0	0.2	0.1	
2	7.5	4.3	2.0	0.9	0.3	-	-	
3	2.8	1.8	0.8	0.2	0.1	-	-	
4	1.1	0.7	0.4	-		-		
5	0.3	0.2	0.0	0.1	-	-		
6	0.0	0.0	-	-	-	-	-	
7	0.1	0.1	-	-	0.0	-	-	
8	0.0	-	-	-	0.0	-	-	

<sup>1</sup>Excludes conditions for which information on medical attention received during the past 12 months was not available.

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated.

C = conditions recorded in PVRS only.

D12 = conditions reported in interview only about which respondent said he had con-tacted a physician during the preceding 12 months.



		1				
		·	Тур	e of match		
	Chronic condition and recode number <sup>1</sup>	Reported in medical records (A+B+C)	Reported in inter- views <sup>2</sup> (A+B+D12)	Reported in both medical records and in- terviews (A+B)	Reported in medical records only (C)	Reported in in- terviews only <sup>2</sup> (D12)
			Number	of condit	ions	
	All chronic conditions	5,279	4,714	2,811	2,468	1,903
01	Tuberculosis (active) (inactive), all					
02	sites Other chronic infective and parasitic	1	6	1	-	5
02	diseases	86	62	38	48	24
03	Malignant neoplasms	49	57	30	19	27
04	Benign and unspecified neoplasms	299	164	130	169	34
05	Hay fever, without asthma	164	228	120	44	108
06	Asthma (with or without hay fever) (bronchial) (not otherwise specified)	39	55	27	12	28
07	Other allergic disorders, not elsewhere	. –	]			
00	Classifiable	117	114	64	53	50
08	Diseases of the thyroid gland	61	65	39	22	26
09 10	Diabetes (mellitus)	88	72	71	17	1
10	Anemia and other diseases of the blood and blood-forming organs, 3 mo.+	40	45	15	25	30
11	Vascular lesions of the central nervous	{				
	system	28	30	24	4	6
12	Headache and migraine, chronic	90	119	56	34	63
13	Specified mental disorders, not elsewhere	0.01		1.50		
14	classifiable	381	180	152	229	28
14	Ill-defined mental and nervous trouble, not elsewhere classifiable, 3 mo.+	89	86	38	51	48
15			00	10		40
	classifiable (chronic rheumatic) (arte-					
• •	riosclerotic) (hypertensive)	238	245	189	49	56
16						
	without heart involvement	227	285	184	43	101
17	Varicose veins	81	82	39	42	43
18 19	Hemorrhoids	131	192	87	44	105
12	Rheumatic fever; arteriosclerosis, not					
	elsewhere classifiable; other chronic diseases of the circulatory system	33	48	13	20	35
20	Chronic sinusitis	19	91	19	20	72
21	Chronic bronchitis	24	61	19	5	42
$\overline{2}\overline{2}$	Other chronic diseases of the respiratory	'				
	system	151	128	66	85	62
23	Ulcer of stomach and duodenum	111	112	67	44	45
24	Hernia (abdominal cavity)	78	67	40	38	27
25	Diseases of the gallbladder, chronic	27	34	23	4	11
26	Other chronic diseases of the digestive system	267	198	130	137	68
•		207	10	100	1.37	

Table 4. Number of chronic conditions for persons using SCPMG services only, by type of match

See notes at end of table.



Tabl/	e 4.	Number	of chronic	conditions	for	persons	using	SCPMG	services	only, by	type of	match-Con.
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			Тур	e of match		
	Chronic conditions and recode number <sup>1</sup>	Reported in medical records (A+B+C)	Reported in inter- views <sup>2</sup> (A+B+D12)	Reported in both medical records and in- terviews (A+B)	Reported in medical records only (C)	Reported In in- terviews only <sup>2</sup> (D12)
			Number	of condit	ions	1
27	Disorders of menstruation	170	102	86	84	16
28	Menopausal symptoms, except psychosis	98	47	21	77	26
29	Urinary calculi; prostate disorders; other					
	chronic genitourinary conditions	384	211	131	253	80
30	Chronic skin diseases	429	195	148	281	47
31	Arthritis and chronic rheumatism	178	238	122	56	116
32	Other chronic musculoskeletal disorders	175	107	75	100	32
33	Fractures, 3 mo.+, no residual specified	6	16	4	<u> </u>	12
34	Other injuries, 3 mo.+, no residual			-	-	
	specified	9	15	2	7	13
35	Severe visual impairment	2	8	2	<u>-</u>	6
36	Other visual impairment	80	95	57	23	38
37	Hearing impairments	50	103	36	14	67
38	Speech defects	4	7	4		3
39	Paralysis	32	36	20	12	16
40	Absence, fingers, toes, only	-4	4	4		
41	Absence, major extremities		_	-	-	_
42	Impairments (except paralysis and ab-				ł	
	sence), back or spine	124	138	75	49	63
43	Impairments (except paralysis and ab-					
	sence), upper extremities and shoulders	15	19	7	8	12
44	Impairments (except paralysis and ab-			,	j ŭ	
	sence), lower extremities and hips with					
	any other site	64	108	30	34	78
45	Impairments (except paralysis and ab-	• •	100			,,,
	sence), multiple not elsewhere classifi-					
	able, and ill-defined, limbs, back, trunk-	43	33	26	17	7
46	Other impairments	5	13	– <sup>–</sup> 4	1	ģ.
47	Other chronic conditions, not impairments		13	7	-	''
••	and not in recodes 48-50	83	54	36	47	18
48	Chronic diseases of eye, not impairments	224	192	118	106	74
49	Chronic diseases of ear, not impairments	98	73	61	37	12
50	Chronic organic nervous system conditions-	83	74	. 61	22	13
			/ 4			

<sup>1</sup>The recode categories 1-46 are the same as those used in the Recode 3 for the Health Inter-view Survey. Recodes 48-50 were included in Recode 47 in the original recode. <sup>2</sup>Excludes conditions for which information on medical attention received during the past 12 months was not available.

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only. D12 = conditions reported in interview only about which respondent said he had contacted a physi-cian during the preceding 12 months.



		Type of	match
	Chronic condition and recode number <sup>1</sup>	Reported in medical records only $\left(\frac{C}{A+B+C}\right)$	Reported in inter- views only <sup>2</sup> $\left(\frac{D12}{A+B+D12}\right)$
		Percent under- reported	Percent over- reported
	All chronic conditions	46.8	40.4
01 02 03 04 05 06	Tuberculosis (active) (inactive), all sites Other chronic infective and parasitic diseases Malignant neoplasms Benign and unspecified neoplasms Hay fever, without asthma	55.8 38.8 56.5 26.8	83.3 38.7 47.4 20.7 47.4
07 08 09	wise specified) Other allergic disorders, not elsewhere classifiable Diseases of the thyroid gland Diabetes (mellitus)	30.8 45.3 36.1 19.3	50.9 43.9 40.0 1.4
10 11 12 13	Anemia and other diseases of the blood and blood-forming organs, 3 mo.+	62.5 14.3 37.8 60.1	66.7 20.0 52.9 15.6
14	Ill-defined mental and nervous trouble, not elsewhere classi- fiable, 3 mo.+	57.3	55.8
15 16	Diseases of the heart, not elsewhere classifiable (chronic rheumatic) (arteriosclerotic) (hypertensive)	20.6	22.9
17 18 19	involvement	18.9 51.9 33.6	35.4 52.4 54.7
20	able; other chronic diseases of the circulatory system Chronic sinusitis	60.6	72.9 79.1
21 22 23	Chronic bronchitis Other chronic diseases of the respiratory system Ulcer of stomach and duodenum	20.8 56.3 39.6	68.9 48.4 40.2
24 25 26	Hernia (abdominal cavity)	48.7 14.8 51.3	40.3 32.4 34.3
27 28	Disorders of menstruation Menopausal symptoms, except psychosis	49.4 78.6	15.7 55.3

Table 5. Percent of chronic conditions underreported and overreported in interviews for persons using SCPMG services only, by type of match

See notes at end of table.



		Type of	match
	Chronic condition and recode number <sup>1</sup>	$ \begin{array}{c} \text{Reported} \\ \text{in} \\ \text{medical} \\ \text{records} \\ \text{only} \\ \left( \frac{C}{A+B+C} \right) \end{array} $	Reported in inter- views only <sup>2</sup> $\left(\frac{D12}{A+B+D12}\right)$
		Percent under- reported	Percent over- reported
29 30 31 32 33 34 35 37 339 41 42 44 45	Urinary calculi; prostate disorders; other chronic genito- urinary conditions	65.9 65.5 31.5 57.1 33.3 77.8 28.8 28.0 37.5 - 39.5 53.3 53.1 39.5	37.9 24.1 48.7 29.9 75.0 86.7 75.0 40.0 65.0 42.9 44.4 - - 45.7 63.2 72.2 21.2
46 47 48	Other impairments	20.0 56.6 47.3	69.2 33.3 38.5
49 50	Chronic diseases of ear, not impairments Chronic organic nervous system conditions	37.8	16.4 17.6

Table 5. Percent of chronic conditions underreported and overreported in interviews for persons using SCPMG services only, by type of match-Con.

<sup>1</sup>The recode categories 1-46 are the same as those used in the Recode 3 for the Health Interview Survey. Recodes 48-50 were included in Recode 47 in the original recode.

 $^{2}$ Excludes conditions for which information on medical attention received during the past 12 months was not available.

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only. D12 = conditions reported in interview only about which respondent said he had contacted a physician during the preceding 12 months.



# Table 6. Number and percent distribution of chronic conditions recorded in medical records for persons using SCPMG services only by number of physician visits for the conditions, according to type of match

	A11	<u></u> т	Type of match				
Number of physician visits	conditions	A	В	с			
		Number of co	r of conditions				
All visits	5,279	1,930	881	2,468			
1 2	2,616 1,133 554 331 226 342 54 18 5	630 438 249 177 139 230 48 14 5	448 158 109 76 28 56 4 2	1,538 537 196 78 59 56 2 2			
	Percent	distributio	on of cond	litions			
All visits	100.0	100.0	100.0	100.0			
1 2	49.6 21.5 10.5 6.3 4.3 6.5 1.0 0.3 0.1	32.6 22.7 12.9 9.2 7.2 11.9 2.5 0.7 0.3	8.6 3.2	62.3 21.8 7.9 3.2 2.4 2.3 0.1 0.1			

NOTE: Definition of type of match:

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A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only. D12 = conditions reported in interview only about which respondent said he had contacted a physician during the preceding 12 months.



Table 7. Number and percent	distribution of	f chronic conditions	reported in intervie	ws for persons
using SCPMG services only	by number of pl	hysician contacts for	the conditions, acc	ording to type
of match				

			·		
	A11	Т	ype of matc	h	
Number of physician contacts <sup>1</sup>	conditions	А	В	D12 <sup>2</sup>	
	Nu	mber of cond			
All contacts	4,535	1,831	801	1,903	
0 <sup>3</sup>	366 1,592 718 440 420 147 457 249 82 64	220 376 284 215 185 75 264 127 52 33	146 219 106 78 67 15 87 53 15	997 328 147 168 57 106 69 15 16	
All contacts	Percent	istributio.	n of condit 100.0	ions	
0 <sup>3</sup>	8.1 35.1 15.8 9.7 9.3 3.2 10.1 5.5 1.8 1.4	$ \begin{array}{c} 12.0\\ 20.5\\ 15.5\\ 11.7\\ 10.1\\ 4.1\\ 14.4\\ 6.9\\ 2.8\\ 1.8\\ \end{array} $	18.2 27.3 13.2 9.7 8.4 1.9 10.9 6.6 1.9 1.9	52.4 17.2 7.7 8.8 3.0 5.6 0.8 0.8	

<sup>1</sup>A physician contact occurred if a physician was seen or spoken to about the condition. Condi-tions for which the respondent did not know (or could not estimate) the number of physician con-<sup>2</sup>Excludes conditions for which information on medical attention received during the past 12

months was not available.

<sup>3</sup>Includes conditions for which the respondent reported no physician contacts in the interview but for which it was known from entries on the PVRS that there had been at least one contact during the study year.

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only. D12 = Conditions reported in interview only about which respondent said he had contacted a physi-cian during the preceding 12 months.

Table 8. Number and percent distribution of chronic conditions reported in medical records for persons using SCPMG services only by type of match, according to whether or not medication was prescribed

Medication status in medical records	A11	T		h				
	conditions	A	B	С				
· · · · · · · · · · · · · · · · · · ·		Number of conditions						
Total	5,279	1,930	881	2,468				
Medication	2,121 3,158	979 951		705 1,763				
	Percent	distributio	n of condit	ions				
Total	100.0	36.6	16.7	46.8				
Medication	100.0 100.0	.46.2 30.1	20.6 14.1	33.2 55.8				

NOTE: Definition of type of match:

A = conditions reported on PVRS and in interview which matched.

B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only.

D12 = conditions reported in interview only about which respondent said he had contacted a physician during the preceding 12 months.

Table 9. Number and percent distribution of chronic conditions reported in interviews for persons using SCPMG services only by type of match, according to whether or not medication was prescribed

	A11	T	ype of match	n				
Medication status reported in interview	conditions	A	В	D12 <sup>1</sup>				
	;	Number of conditions <sup>2</sup>						
Total	4,780	1,909	865	2,006				
Medication	2,254 2,526	966 943	426 439	862 1,144				
	Percent	distribution	n of conditi	lons				
Total	100.0	39.9	18.1	42.0				
Medication	· 100.0 100.0	42.9 37.3	18.9 17.4	38.2 45.3				

<sup>1</sup>Excludes conditions for which information on medical attention received during the past 12 months was not available. <sup>2</sup>Excludes conditions for which the medication status could not be obtained from the inter-

view data.

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated.

C = conditions recorded in PVRS only.

D12 = conditions reported in interview only about which respondent said he had contacted a physi-cian during the preceding 12 months.



	Condition	ns in me	dical r	records	Conditi	lons in interviews			
Sex	All	Тур	e of ma	itch	A11	Type of match			
	condi- tions	A	В	с	condi- tions	A	В	D12 <sup>1</sup>	
	Number of conditions								
Both sexes	5,279	1,930	881	2,468	4,817	1,930	881	2,006	
Male	2,168	813 1,117	363	992 1,476		813 1,117	363	697	
rema1e					of conditi			1,309	
Both sexes	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	
Male	41.1	42.1			1 1	42.1			
Female	58.9					57.9			
Both sexes	100.0			of con	ditions b	y type			
Male	100.0	37.5	16.7	45.8		43.4	19.4		
Female	100.0	37.5	16.7	45.8	100.0	37.9	17.6	44.5	

Table 10. Number and percent distribution of chronic conditions reported in medical records and in interviews for persons using SCPMG services only by sex and type of match

<sup>1</sup>Excludes conditions for which information on medical attention received during the past 12 months was not available.

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions reported in PVRS only. D12 = conditions reported in interview only about which respondent said he had contacted a physi-cian during the preceding 12 months.



		Mal	e						
Age	All condi-	Тур	e of ma	tch	All	Type of match			
	tions	А	В	с	condi- tions	A	В	С	
— · ·			Num	ber of	conditio	15			
17 years and over	2,168	813	363	992	3,111	1,117	518	1,476	
17-24 years	88 166 415 622 426 451	40 51 136 260 138 188	9 43 75 107 61 68	39 72 204 255 227 195	167 398 820 765 608 353	58 155 277 260 193 174	18 50 131 117 125 77	91 193 412 388 290 102	
		Percent	distri	bution	of condi	tions by	age		
17 years and over	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	
17-24 years 25-34 years 35-44 years 45-54 years 55-64 years 65 years and over	4.1 7.7 19.1 28.7 19.6 20.8	4.9 6.3 16.7 32.0 17.0 23.1	2.5 11.8 20.7 29.5 16.8 18.7	3.9 7.3 20.6 25.7 22.9 19.7	5.4 12.8 26.4 24.6 19.5 11.3	5.2 13.9 24.8 23.3 17.3 15.6	3.5 9.7 25.3 22.6 24.1 14.9	6.2 13.1 27.9 26.3 19.6 6.9	
	Perce	ent dist	ributio	n of co	nditions	by type	of mat	ch	
17 years and over	100.0	37.5	16.7	45.8	100.0	35.9	16.7	47.4	
17-24 years 25-34 years 35-44 years 45-54 years 55-64 years 65 years and over	100.0 100.0 100.0 100.0 100.0 100.0	45.5 30.7 32.8 41.8 32.4 41.7	10.2 25.9 18.1 17.2 14.3 15.1	44.3 43.4 49.2 41.0 53.3 43.2	100.0 100.0 100.0 100.0 100.0 100.0	34.7 38.9 33.8 34.0 31.7 49.3	10.8 12.6 16.0 15.3 20.6 21.8	54.5 48.5 50.2 50.7 47.7 28.9	

Table 11. Number and percent distribution of chronic conditions reported in medical records for persons using SCPMG services only by age and type of match, according to sex

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only. D12 = conditions reported in interview only about which respondent said he had contacted a physi-cian during the preceding 12 months.

·	Male				Female			
Age	A11	Туре	of ma	tch	A11	Туре	of mat	ch
	condi- tions	A	В	D12 <sup>1</sup>	condi- tions	A	В	D12 <sup>I</sup>
		Number of conditions						
17 years and over	1,873	813	363	697	2,944	1,117	518	1,309
17-24 years 25-34 years	116 177 302 573 291 414	40 51 136 260 138 188	9 43 75 107 61 68	92	388 781 692 516		18 50 131 117 125 77	107 183 373 315 198 133
		Perce	nt dis	tributi	on of con	ditions	by age	
17 years and over	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
17-24 years	6.2 9.5 16.1 30.6 15.5 22.1	4.9 6.3 16.7 32.0 17.0 23.1	2.5 11.8 20.7 29.5 16.8 18.7	11.9 13.1 29.6 13.2	13.2 26.5 23.5 17.5	23.3 17.3	3.5 9.7 25.3 22.6 24.1 14.9	8.2 14.0 28.5 24.1 15.1 10.2
	Perce	nt distr	ibutio	n of co	nditions	by type	of matc	h
17 years and over	100.0	43.4	19.4	37.2	100.0	37.9	17.6	44.5
17-24 years	100.0 100.0 100.0 100.0 100.0 100.0	34.5 28.8 45.0 45.4 47.4 45.4	7.8 24.3 24.8 18.7 21.0 16.4	46.9 30.1 36.0 31.6	100.0 100.0 100.0 100.0	31.7 39.9 35.5 37.6 37.4 45.3	9.8 12.9 16.8 16.9 24.2 26.1	58.5 47.2 47.8 45.5 38.4 34.6

Table 12. Number and percent distribution of chronic conditions reported in interviews for per-sons using SCPMG services only by age and type of match, according to sex

 $^1 \rm Excludes$  conditions for which information on medical attention received during the past 12 months was not available.

NOTE: Definition of type of match: A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only. D12 = conditions reported in interview only about which respondent said he had contacted a physi-cian during the preceding 12 months.



	A11	Tyj	pe of matcl	h
Education of respondent	condi- tions	A	В	C
	ſ			
All educational groups	5,254	1,921	880	2,453
No education	72 84 922 2,684 708 468 316	25 26 353 995 273 166 83	24 15 166 443 108 63 61	23 403 1,246 327 239 172
	Percent	distributi by educ		itions
All educational groups	100.0	100.0	100.0	100.0
No education 1-4 years	1.4 1.6 17.5 51.1 13.5 8.9 6.0	1.3 1.4 18.4 51.8 14.2 8.6 4.3	2.7 1.7 18.9 50.3 12.3 7.2 6.9	0.9 1.8 16.4 50.2 13.3 9.7 7.0
	Percent	distributi by type o	on of cond f match	itions
All educational groups	100.0	36.6	16.7	46.7
No education	$ \begin{array}{c} 100.0 \\ 100.0 \\ 100.0 \\ 100.0 \\ 100.0 \\ 100.0 \\ 100.0 \\ 100.0 \end{array} $	34.7 31.0 38.3 37.1 38.6 35.5 26.3	33.3 17.9 18.0 16.5 15.3 13.5 19.3	31.9 51.2 43.7 46.4 46.2 51.1 54.4

Table 13. Number and percent distribution of chronic conditions reported in medical records for persons using SCPMG services only by education of respondent and type of match

NOTE: Definition of type of match:

A = conditions reported on PVRS and in interview which matched. B = conditions reported on PVRS and in interview which appeared to be associated. C = conditions recorded in PVRS only. D12 = conditions reported in interview only about which respondent said he had contacted a physician during the preceding 12 months.

	A11	Type of match		h		
Education of respondent	condi- tions	A	В	D12 <sup>1</sup>		
		Number of conditions				
All educational groups	4,791	1,921	880	1,990		
No education 1-4 years	89 92 802 2,473 678 393 264	25 26 353 995 273 166 83	24 15 166 443 108 63 61	40 51 283 1,035 297 164 120		
	Percent	distribution of conditions by education				
All educational groups	100.0	100.0	100.0	100.0		
No education	1.9 1.9 16.7 51.6 14.2 8.2 5.5	1.3 1.4 18.4 51.8 14.2 8.6 4.3	2.7 1.7 18.9 50.3 12.3 7.2 6.9	2.0 2.6 14.2 52.0 14.9 8.2 6.0		
•	Percent	distributi by type o	on of cond f match	itions		
All educational groups	100.0	40.1	18.4	41.5		
No education	100.0 100.0 100.0 100.0 100.0 100.0 100.0	28.1 28.3 44.0 40.2 40.3 42.2 31.4	27.0 16.3 20.7 17.9 15.9 16.0 23.1	44.9 55.4 35.3 41.9 43.8 41.7 45.5		

Table 14. Number and percent distribution of chronic conditions reported in interviews for persons using SCPMG services only by education of respondent and type of match

 $^{\rm I}{\rm Excludes}$  conditions for which information on medical attention received during the past 12 months was not available.

NOTE: Definition of type of match:

A = conditions reported on PVRS and in interview which matched.
 B = conditions reported on PVRS and in interview which appeared to be associated.
 C = conditions recorded in PVRS only.
 D12 = conditions reported in interview only about which respondent said he had contacted a physician during the preceding 12 months.



# APPENDIX 1. FORMS

# Version One of Questionnaire

Form Approved: Budget Bureau No. 68-R620-F9.1

permit idea	atification of	the indiv	idual w	ill be held su	detly co	of the \$4th Con onfidential, wi other purposes	1) be us	ed only by per	2 U.S.C sens ei	. 305). All in agaged in and (	formati for the	on which would purposes of the	1
PORM HHS-6- (9-4-43)	134	AC	TINE A	EPARTNENT O UREAU OF THI COLLECTING PUBLIC HEAL	AGENT	FOR THE				I. Question	onlaa -		
		1		WAL HEAL						Question	alles		
2. ADDIES	e. Addres	or desci	iption o	location	••			(* * * *	-	3. Aselgam			
	b. Mailing	address i	if not al	bowa ia (s): [/	sclude (	city and State				4, Serial No	<b>.</b>		
5. Which of etc? (Sbo halp from	w Card H), 1 rolatives, et	groups runcing neluse in s.	eprosen como fr	te your tatai fe om all source	mily in , each (	eson for the p as wages, sale	est 12 s rice, re	nanths, shot is, ints from propo	, your's ity, pos	, year- "B, islens,	Grow	•	
6. What is #	ne telephone	number he	ret	_	Telep	bcae No.					) None		
As 1 ment only. In 1	•	, in each le	hevselv			ial quaetions a	bovt an	ther related mer to porson for hi het is the parii	meelf				
	(Erier desi time to call)									Dete Time			
S. RECORD	ļ	ltem	<del>.</del>	1	Com.	2	Com.	3	Com.	4	Com.	5	Com.
OF CALLS AT House- Holds		Eatire Date household Time											
	Record of Calibacks for Sample Porson	Col. No. SP	Date 										
9. REASON		TYP	EA			1					TY	PR Z	
FDR NON- INTER- VIEW	Tem		bsent	nated calls	-	(Specify, c.g., moved in	86mpli	e family etc.)		Incoview not obtained for Sample Person (3P) : (Specify reason)			
										·			
10. Signstur	e of interview	ret	_				•			11. Code			
POOTNOTES	5									L			

VICONIN-DC



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		-					r —		
		column)	nome of the head of this household? (Enter name in appropriate	Last name		(SP)	Last name		0
		live here)	e names of all other persons who live here? (List all persons who	Fitst name a	nd initial		First name and		{
		temporarily	yone else who lives here who is now [] No [] Yes (Liei)	т нат нарке а					
			usiness? [] No [] Yes (Lier) [] No [] Yes (Lier)				{		ĺ
			yone else staying here naw? No Yes (Liei)						
			aloted to the head of the household? (Unter relationship to npie: head, wife, daughter, grandson, mother-in-law, etc.)	Relationship			Relationship		
ĺ	3.	Haw old were	yau on yaur last birthday?	Age	Under	l year	Age	Under	Iyear
ſ	4.	Race (Check	ane box (or each person)	White (	Negto	Other	White [	] Negro 🗖	Other
ſ	5.	Sex (Check a	ne box for each person)	Male Female			Male Female		
ſ		16 17 waard of	d or over, ask:	 U	nder 17 years		יר די	nder 17 year	
			narried, wildawed, divorced, separated, ar never married?	Married	Separ Neve		Married	[∐ Sep □ Nev	arated
ļ			ax for each person)	Divorced			Divorced		ried
ł		If 17 years of	d ot over, ask:	Under 17	Vesia	SP	Under 17		- 27
1		a. What is th	e blahest grade van attended in school?	Elem: 1	2345678	S	Elem: 12	345678	- O
Î		(Circle hig	hest grade attended of check "None")	High: 1 College: 1	234 2345+		High: 12 College: 12		ĺ
				None None			None_		1
			nish the grade (year)?	Yes	<u> </u>		<u> </u>	No	
			d at over, ask:	Under 17	years		Under 17	ye 2rs	T
	8.	(For males	you daing mast of the past 12 months — ;); warking ar doing samething else?	Keeping			Keeping h	ouse	
ł		(For femal	es): keeping house, working, or doing something else?	Somethin	g clae		Something	else	
}		b. Are you re	; clse" checked, and persoo is 45 years old or over, ask: Hred?	Yes		□ N•	Yes		□ №
Ī	NO	TE: Beginnin	g with Question 9, you must interview the sample person for him-	Sample F	erson home an	nd svalla	ble - ask SAM	LE PERSO	N Q. 9-19
		self. Ch the ques	eck the appropriate box and failow the indicated order of asking tions.	Sample P	erson not at h	ome or a	ot available -	continue inte	eview for
ł	•	Were you sick	ot ony time LAST WEEK OR THE WEEK BEFDRE? (That is, the						
		2-week period	which ended this past Sunday night.)	Ye s		<u> </u>	Yes 1		□ No
		o, What was b. Anything							[
ł	10.		the week bafate did you take any medicine or treatment for any	(		#70			
		condition (be	sides which you told me about)?	TYes 🗋		L] 140	Tes (		
		<ul> <li>a. For what</li> <li>b. Anything</li> </ul>					}		ļ
Ì	11.	Last week or	the week before did you have any accidents or injuries?	Yes		<b>□</b> №	Yes		
		a. What were		_			1		
l		b. Anything	ulso?						
1	12.	Did you ever	have an (any other) accident or injury that still bothers you ar					and the second second	1. 1.8.10
		offsets you li	n any way?	Yes Yes		No		신 남왕, 남부왕 12년	
			ay dass it bother you? (Record present effects)						
ļ		b. Anything e	lse?					,	
		Maura	A set of these are date to block the RAST to HONTHER	□ Yes		□ No			
	13.	. Hove you had	any of these conditions DURING THE PAST 12 MONTHS?			-			
		(Read Cord 4	, condition by condition; record any conditions mentioned.)				8. A		
ł		(110 HO CALL		<u>l</u>		<u> </u>	-		i
	14	Do you have	nay of these conditions?	🗆 Yes		□ No		• •	· . ·
ļ	14.	. Na yan yang	any of these conditions?						
1									
ļ		(Read Card E	3, condition by condition; record any conditions mentioned.)	ł					
	_			i —				د <u>ين</u> راهيد در او	
	15.	AT THE PRI with your he	ESENT TIME do you have any other aliments, conditions or problems with?	Yes		D No			nis sidos Necessori
			e condition? (Record condition itself if still present; otherwise record	1				1 (1892) 1	
		o. What is th presear of		ļ					
J			problems with your health?	_			L		
	18.	. a, Have you If ''Yes,''	been in a haspital at any time during the past 12 months? ask:	TYes		No No			
			times were you in the hospital during that period?		No. of times		<u>1998 - Service</u> State		
1	19,		been a patient in a nursing home, rest home, or any similar place	Yes		D No	222200		
		during the If "Yes," as	post 12 months?						<b>.</b>
			times were you in a nursing home or rest home during that period?		_No. of times				
ł	_	······		Respond	ad for salf		Responde	d for e-1f	
1		R	For non-sample persons 17 years old or over, show who responded for Q. 9-11. For persons under 17 show who	ابت		(SP)	L veshage	- 146 8611	6
1			responded for them.			C	Col	espondent	9
				-					



Last same (	2 Last name 3	) Last name	Last name
First same and initial	First name and initial	First name and toitsal	First name and initial
Relationabip	Relationship	Relationabip	Relationship
			Age
Under 1 ye	ut Under 1 year	Under 1 year	Under i year
		Vhite Negio Other	Ubire Diser Other
Nie Female	C Male Female	D Male Female	- Male Fumale
Uader 17 yeare Married Separated Vidowed Never Diverced Married	Under 17 years Married Separated Uidowed Never Divorced Karried	Ubder 17 years Married Separated Vidowed Never Divorced Married	Ubdet 17 years Married Separated Vidowed Never Divorced Narried
Under 17 years Elem: 1234 2678 High: 1234 Colleger 1234 Noce Yes No	2 Uuder 17 years Elemi 1 2 3 4 5 6 7 8 High: 1 2 3 4 College: 1 2 3 4 5+ Noose Yes No	Under 17 years Elem: 12345678 High: 1234 College: 12345 Nose Yes No	Ubdet 17 years Elem: 1 2 3 4 5 6 7 8 High: 1 2 3 4 5 College: 1 2 3 4 5+ Nooe Yee No
Undet 17 yeare Vorking Keeping house Something else	Under 17 years Vorking Keeping bouse Something else	Under 17 years Vorking Keeping bouse Something else	Under 17 yeare Vorking Keeping bouse Something else
Yes ?			□ Yee □ No
and Tebler C-1, H and P for his non-sample persons only.	seell. THEN eak Q. 9-11 and Table C-	2 for non-sample percons.	
<u> </u>	• 🗋 Yes 🔲 N	> □ Y•• □ №	Yes No
<u>Y</u> ••	• Yes	Yee No	□ Yze □ №
Y••N	• • Y•• • No	Y •• No	☐ Yee
5. 6 3 COR			All and a second se
FILL ITEM R. THEN FILL TABLE C-2 FOR CONDITIONS REPORTED FOR HON-SAMPLE PERSONS			
l		and the second second	
	a a a a a a a a a a a a a a a a a a a	and the second sec	•
	•		
······			
	·		
			-
Responded for self	Responded for self	Responded for sail	Responded for self
Colwas respondent	Col. was respondent	Cris	Cotwes respondent



						TABLE C-1 (For SP ONL	(): Fill on	e line of Table C-1 for
Lioe number	Cal. No. of per- son	Ques- tion No.	Name of condition ## reported in Questions 9-17	Did you EVER of any time tolk for a dout ?	present effects of ad injuries: (a) If doctor talked to: What did the doctor soy it was? did he give it a medical name? (b) If doctor not talked to: Record original entry and set: (e-2) · (e-3) as required. Ask for all injuries during past 2 weeks: What part of the body was hurt? Anything sloo?	An Impairment, ot a Symptom What was the cause of ?	Ask only if: 6 years old or over and blind- ness, poor vysion, or vysion, or vysio	Growth Frobler Stoke <sup>®</sup> What kind of (s it? *For an allergy or stoke ask: How does the allergy (stroke) offset you?
	(=)	(Ъ)	(c)	(b)	(e-1)	(e-2)	(e-3)	(~4)
1	sp			□ Ye∎ □ No		x	□ Yes X □ No	X
2	9			□ Ye∎ □ №		I	□ Ye∎ <sup>x</sup> □ №	x
3	(SP)			☐ Ye∎ ☐ No		X	□ Yes X □ No	x
4	s			C Yes		T	Vet X	K
5	œ			□ Yes □ No		r	□Ye∎ x □No	x
6	P			□ Yes □ No		× ×	□ ¥** x □ No	x
7	sp			□ Ye∎ □ №		<b>T</b>	□ Yes *	x

	Col. No. of	Que =- tion No.	You sold that (once, twice, t	itc.) during t	a past yeor	How mony nights were you in the	Complete from and (d); or, si questions -	entries	in Col	umns (	c)	of Table H for each hospitalization For whot condition did you entor the hospital - do you know the medical name?		
Line number	son		When did you enter the hospital (the last time)? (Enter month, day and year; if exact date not known, obtain estimate.) (c) (c) Month ; Day ; Year		hospital? (if exact number not known accept beat estimate)	How many of these nights were in the past 12 months?	these nights were lost week or		Were atill i hospit fost S night1	n the fol on unday	(If medical name not known, cuter respondent's description) (Entry must show "Cause," "Kind," and "Part of body" in same detail as required in Table C-1)			
_	(=)	(6)	Moath		Year	(d) Nighte	(e) Nighte	Nighte	None	Yes	1)   No	(b)		
1	(SP)								1     1					
3	9								 		     			
3	₽				-				7 9 1 1		/ [ ] 			
۴0		-8-13-16	9-4+82j	<u> </u>		<b>L</b>	L	<u> </u>	<u> </u>	I	·	L		

ERIC to the set of the

	If G-16		Haw many	During that	Ask ONLY if	Ask		(hen did you	Ask only if	Γ
Impairments and injuries And for: Abscesses Inflammation Aches Neuralgia Bloeding Neurits Blood Clot Pains Boils Sores Cancer Soreness Cyst Tumor Growth Ulcers Infection Weakness That part of the body is affected? Show detail for: Ear or eyes - (one or both) feed - (Skull, scalp, face) Sock - (Uper, middle, lowet)	pid sai riaw m days d kut yat fro school week o week b	iany lid •p	days did keep you from you rob or business last week or the week before? Enter numbe of days, or check 'None'' and ask Col. (h)	2 week period how many days ldid keep you in bed all or most af the day?	"None" checked in (nls. (g) and (h): LAST WEEK OR THE WEEK BEFORE did couse you to cut down c the things yo usually do?	ONLY if "Yes" in Col. (i): Did yau have to cutdawn for as much as a day?	notice ?     (Check the first box which applies)                                     	at iso ar alk to a loctor about ? inter monch ind year if uting past 2 months. therwise heck "before 12 months" or never" ox	doctor seen dwing the past 12 months buring the past 12 months about haw many times have you seen or tolked to a doctor obout ?	
<ul> <li>Ymn • (Shoulder, upper, elbow, lower, wrist, hand; one or both)</li> <li>• 9 • (Hip, upper, knee, lower, ankle, foor; one or bath)</li> <li>(e-5)</li> </ul>	r		(g) Days None	(h) Days' None	(i) Yes <sup> </sup> No	(i) Yes No	(1,)	(1)	(m)	
ĸ		+     			1		[_] 2 wks.+3mo. 12	1/Y [] B. 12 mo. [] Never	No. of times	1
ĸ		     						1/Y] H. 12 mo. ] Never	No. of times	2
	DOES	г   NOT			. i . i		[_] 2 wks3 mo. 12 [_] 3-12 months munths [	B. 12mo.   Nev.r	No, of times	1
×	AP	PLY				     	[] 2 wks.+3mo. 12 []] 3-12 months months	1/Y ] 8. 12mo. ] Never	No. of times	4
	] i	1 1 1 1		         			[] 2 wks3 mo. 12 ] 3-12 months months	(/Y] ]]. 12 ma. ]] Never	No. of times	5
					   		2 wks3 mo. 12 3 J 12 months months [	I/Y B. 12 mo. Never	No. of times	6
		}     	1				2 wks3 mo. 12	1/Y [1] 8, 12 moi [1] Never	No. of times	7
reported in Questions If Were any operations perforduring this stay of the har If ''Yes,'' ask: a. What was the name of to operation? b. Any other operations?	rmed an spital?		What is th (Enter ful	ne name and a	d go to Table address of the l spirul, street of ; if city not kn	nospitol ye highway	on which it is		NOTE TO INTER- VIEWER	
(i) Yes I lif "Yes," nome of opp	eration, e	eic. No	<u> </u>	ame of hospit	St	(j) reet	Address		After Completing Table 11	
									go to Table P	
					St	ty and ate				



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ſ			<u></u>		т. Т	ABLE C-2 FOR NON-SAMPLI	PERSONS	ONLY: 'Fill one line of
Line number	Col. No. of per- soa	Quee- tion No.	Nume of condition as reported in Questions 9-11	Did you BYER of any time deter obeut ?		Ask if the entry in Col. (0-1) les An Impairment, ot a Symptom Whet was the sause of ?		Ask for any entry in Col. (e-1) or Col. (e-2) that includes the worder
	(11)	(b)	(c)	(d)	(=-1)	(+-2)	(+3)	(5-4)
1				Vee No		*	□ ¥•• <sup>●</sup> □ №	*
2				□ ¥•• □ No		X.	□ Y•• <sup>x</sup> □ №	I
3				□ Yes □ No		Z	□ Ya• * □ No	
				□ Yes □ No		*	Ves X	
,				Ves No		1		1
6				Vee No		x	Vee X	
,				Vee No		×	☐ Yea <sup>#</sup> □ 149	,
9				Ves No		•	□ Yee <sup>#</sup> □ No	1
?				Vee No		2	□ ¥•• <sup>¥</sup> □ №	
10				Ves No		•	□ ¥** <sup>#</sup> □ №	
		TES			GO TO FRONT OF QUESTIONN	AIRS		

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ak oaly fort apairments and injuries dof: baceases laflammation iches Neuralgis locd Clot Palas slood Clot Palas slood Clot Palas socar Soraness yes Tumor inovih Ulcers afection Washass hot part of the body is affected? ibow detail fort ford - (Stull, a calp, face) shet. (Upper, middls, lower) the. (Shoolder, upper, slow, hower, wrist, hand; one or both)	If 6-16 old mak How me days di you from wook b Enter s of days check and ak Col. (h	my d lest the afore? umber or "None"	If 17 y old or nak: Haw a days o k your i or bus leat a or the before	rents Bore Hid sep om ob liness reck sok sok sok sok sok sok	Person During 2 week many d did keep ye day? If any entered Cols. (k Col. (k	Het Saw all or the days <sup>11</sup> days <sup>11</sup> la g) or to	Ask ONL "Nooe" ( is colume (s) and (h LAST WE THE WEI BEFDRE uswelly d uswelly d uswell d uswelly d uswelly d uswell d uswell d uswelly d uswell d uswell d uswelly d uswelly d uswelly d uswelly d uswelly d uswelly d uswelly d uswelly d uswell d	checked is (f) or is: EK OR EK dis syon wn on a you ic?	Ask oni "Yss" column Did yew fer as n as a der	(i): heve own wch	When did yow first notice ? (Check the first box which applies)
eg - (Hip, upper, knee, lower, ankle, foot; one or both)		Ð	L L	1)	(	a)	6	0	<u> </u>	) 	
(e-5)	Daye	None	Days	None	Days	None	Yee	No	Yas	No	(16)
		; ; ;		1 1 1				1			last 2 wks.   befor   2 wks5 mo. 12   3-12 mosths mont
	•	1 1 1	1	     		   	1				last 2 wks. befor 2 wkg3 mo. 12 3-12 months mont
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		<u>)</u>     		1 1 1							last 2 wks.   befo   2 wks.+3 mo. 12   3-12 months mon
	¥						1		1	1	last 2 wts.   befo   2 wts3 mo. 12   3-12 months mon
	¥		+		-	<u> </u>			<u> </u>		lest 2 wks.   bef: 2 wks3 mo. 12 3-12 months mos
	×				1			<u> </u>   			isst 2 wks. befo 2 wks5 mo. 12 3-12 months mon
	1		1		1	1	-				isst 2 wks. bef 2 wks3 mo. 12 3-12 months mon
			- L					<u> </u>			



	TABLE D	Name of Sample	Person	
	TABLE P		······	
P-1.	Have you ever been advised by a doctor to limit the amount or to evald a certain kinds of food or beverages? If "Yes," wsk:	nticily	🗀 Yen	4 🗔
	a. For what reason at condition? b. Are you still following this advice?		Yen	
P-2.	At the present time are you regularly taking any medicine or treatment is condition?	er en y	Ya +	•
	lí "Yes," esk: a. For what condition?	_		
P-3.	Do you have any condition which often causes you pain or disconfort? If "Yes," esk: a. What is the condition?		Yes.	×
P-4.	Do you have any health problem which is a source of worry to you or ath your family? If "Yes," ask:	er members of	Yes	<b>ب</b> ب
P.5.	o. What is the problem? (Fat males): Are you limited in any way in the amount or kind of work	vou ean do		
	(For females): Are you limited in any way in the amount or kind of house do because of your health? If "Yes," sek:	•	Ye I	
	o. What condition causes this?			
P-6.	in general, would you say your health is excellent, good, fair, or poor?		Exceller Fair	st Good Poor
	D RESPONDENT CARD TD P-7 (FORM NHS-5-13-6) Listed on this card ore several conditions. Pleass place an "X" oppos people would talk about each condition in an interview like this that i	its each condition s, if they ar some	n which indicates how free a other member of their fam	ily you think most other illy had the condition.
».g.	o. Did you work at any time during the past 2 weeks?		1 - Yee	۸ []
	If "No," set P-8-b and P-8-c: b. Even though you did not work during that time do you have a job or bu c. Were you looking for work or an leyaff from a job?	niness?	Tes Tes	، ۱
P-9.	What is the name and address of the dactor or clinic you usually go to for medical advics or treatment?	NOUL OWN		
	a. During the past 12 months about haw many times did you see or visit or clinic named)? A Taurel	(doctor	Number of times	
	b. Besidee (the doctor or clinic named above) did yeu see or visit ony of during the past 12 months?	ther doctor	Yes	No (00 10 P-10)
			Nome and address	
	[f "Yes," ask: Who was this? (Enter name and address)			
	c. How many times did you see him during the past 12 months?		Number of times	<u>.</u>
	d. Did you are any other doctors during the past 12 months?		[]Yes	No (00 10 P-10)
			Name and address	
	lf "Yes," ask: Who was this? (Enter name and address)			
	•. How many times did you says him during the past 12 months?		Number of times	
		nformation	MEDICAL AUTH	DRIZATION FORM
P-10	, in conjunction with this survey we sometimes need to obtain additional i		1	
	from medical and hospital records. In case you are selected as one of the for whom we wish to obtain additional information will you pisses sign t	his form	Signed	
P-10	from medical and hospital records. In case you are selected as one of t	his form	Signed	alon)
<b>-</b> 10	from medical and hospital records. In case you are selected as one of the for whom we wish to obtain additional information will you please sign t (present release - Form NHS-S-13-7) which allows us to consult your hes	his form		n i on)

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# Version Two of Questionnaire

									Form	Approved: Budy	set Bure	au No. 68-R620-1	<u> 29,1</u>		
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FORM NHS- (8-4-62)			U, 9. 0 11 11 11 11 11	EPARTMENT G	F COME CENSU AGENT	IERCE UB I FOR THE	-			1. Question	DAISE				
				PHELIC HEAL						of					
				NAL HEAL	TH SU					Question					
2. ADDRES	ss <sup>a. Addrea</sup>	s of desci	npelon :	f location						3. Assigoment No.					
	b. Mailing	address	lf not a	bown in (a): Ir	elude o	city and State				4. Setial No	<b>I</b> .				
etat (Sh	f these income low Cate H), I m relatives, et	include in	opresson conce fr	ts your total fa am all sources	mily in , such o	come for the p as wages, sald	ost 11 s ries, ps	nonths, that is, nts from proper	your's ty, pen	, your-,'s, slons,	Group	, <u> </u>			
6. What is	the telephone	number h	fen		Telepi	bone No.					None				
As I mer only. In		, in each Is	heussh:			lai questions a	pont ou	ber related mer is person for hi hat is the sarii	mself						
						3)	nter be	st time to cell)		Ďate		Time			
8. RECORD		ltem		1	Cota.	2	Com.	3	Com.	4	· · · · · · · · · · · · · · · · · · ·	Сощ			
OF CALLS AT HOUSE. HOLDS	Eotl	Itam Eotire Dete household Time													
	Record of Collhocks for Sample Person	Col.No. SP	Date  Time												
9. REASON		TYP	E A				TYPE	· · · · · · · · · · · · · · · · · · ·			TY	PEZ			
FOR NON- INTER- VIEW	Tem		bsent	ested calls		(Specify, e.d.) meved ie	, 3empi	e family elo.)		Deterview not obfained floc Sample Person (SP) : (Spacily reason)					
10. Signatu	are of interview	wet		,						11. Code					
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						· ·					_	USCON	M-DC		

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ເວໂພກກໂ	nome of the head of this household? (Enter same in appropriate a names of all other persons who five here? (List all persons who	Laat name SP	Last Dame
live here)	vone else who liveschere who le new and the second	First name and initial	First name and initial
d, Away na bu	In o hospital?         No         Tes (Liei)           ysiness?         No         Yes (Liei)           No         Yes (Liei)		
f. In there on	yone also staying here now? No Yes (Lier)	Relationabip	Relationship
	mple: head, wife, daughter, grandson, mother-in-law, etc.)		
3. How old were	you an your last birthday?	Age Under 1 year	Age 🗍 Under I year
4. Race (Check	one bos for each person)	White Negro Other	White Negro Other
5. Sex (Check of	ne bas for each person)	- Male - Female	Nale     Female
6. Are you now i	d or over, ssk: morried, widawed, divorced, separated, or never married? bx for eech person)	Under 17 yeara Married Separoted Widowed Nevet Divorced Married	Under 17 years Married Separated Widowed Never Divorced Married
7. a. What is th	d or over, ank: a highest grade you attended in schoelt thest grade attended or check "None")	Under 17 years Elem: 12345678 High: 1234 College: 1234 College: 1234 College: 1234	Under 17 years 1 Elem: 1 2 3 4 5 6 7 8 High: 1 2 3 4 College: 1 2 3 4 5+ Noae
<u> </u>	nish the grade (year)?	Yea No	Ves No
8. o. What were	d or over, esk: you doing most of the past 12 months -	Working Keeping house	Working
(For lemal	s): working or doing something else? cs): keeping house, working, or doing something else?	Something else	Something else
lf "Something b. Ara you ta	; else" checked, and person is 45 years old or over, ask: tirod?	Y••	
NOTE: Beginnin self. Ch the quest	g with Question 9, you must interview the sample person for him- eck the oppropriate box and follow the indicated order of asking lians.		lable - aak SAMPLE PERSON Q. 9-19 not evaliable - continue interview for
9. Were you eich 2-week period o. What wos b. Anything o		□ Y•• □ Þ	0
10. Last week or	ihe week before did you take any mi Jicine er treatment (er any aldea which you teld me about)? conditions?		• 🖓 Y •• 🔹 🖓 No
11. Last week or a. What were b. Anything a		Yee N	• Yee No
12. DURING THI yourself? If 'Yes,'' se s. For what b. Any other	conditions?		
some health : If "Yes," as a. What cond	k: Ition coused this change?	□ Y•• □ N	
h. Do you st	Y if not previously recorded end ask: It have this condition?	Yee No (Deleie)	
because of so If "Yes," as	ir had ta maka any athar change in your way of deiny things one health condition? k: lion caused this change?		
Record ONLY	if on previously recorded and ask: ill have this condition?	Yes No (Delete)	
in ony way?	ir had ony other Illness or Injury which bethers you or offects you he preson: offects?	N	
Hand respond	isnt conditions card with "A" aids up and pencil, then say: ER had any of the conditions listed on this cond? Places check le" for each and listed.	All No's Yes's (One or more)	
Ask responde 17. Have you had Please check	at to turn card over (to "B" side), then sey: i my of these conditions DURING THE PAST 12 MONTHS? "Yes" or "Na" for each one listed.	All No's Yes's (One or more)	
If "Yes,"	seen in a haspitel at any time during the past 12 months? ask: Ilmes were you in the hespitel during that period?	C Y++	
	rimas were you in me naapitel suring met percer sen a potient in a noreing heme, rest heme, er eny elmiler plece pest 12 menthe?	No. of times	
lf "Ye+," as	past 12 montho? k: timas were you in a nuraing home or rest hom - during that pariod?		
	times was yes in a nearing near of rest near . dering mer period?	<b>↓</b>	
R	For non-sample persons 17 years old or over, show who responded for U, $9-11$ . For persons under 17 show who responded for them.	Responded for self	Colwas respondent

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Last name	0	Last name	0	Last nume	Ō	Last game	0
First asme and initial		First osme and initial		First name and initial		First name and initial	
Relationship		Reletionship		Relationship		Relationship	
Age		Age		Age		Age	
}u	nder 1 year		ader Lysar	Under		Under I ye	
Vhite Negro	O:bet	White Negro	Other	Vpite Negro	Olber	White Negro	Other
Female		Female		D Male Female		Male   Female	
Vidowed	7 yeara Separated Never Married	Tidowed N	7 years aparated iever iarried	Under 17 y Martied Sep Widowed Ne Divorced Ma	atsted	Under 17 years Nerried Separe Vidowed Naver Divorced Narrie	
Under 17 years Elem: 1 2 3 4 5 6 High: 1 2 3 4 College: 1 2 3 4 5+ None Yes		Under 17 years Elem: 1 2 3 4 5 6 High: 1 2 3 4 College: 1 2 3 4 5 None Yes N		Under 17 years Elem: 1 2 3 4 5 6 7 High: 1 2 3 4 Callege: 1 2 3 4 5+ None Yes No	,, ()	Under 17 yeers Elem: 12345678 High: 1234 Collage: 12345+ Noor Noor Yes Noo	0
Under 17 years Vorking Keeping house		Under 17 years Vorking Keeping bouse Something else		Under 17 years Vorking Keeping bouse		Under 17 years Vorking Keeping house	
Something else				Something else		Something else	
and Tebles C-1, H and	i P for himsel	U. THIN eak Q. 9-11 s	Ind Table C-2	for non-sample persons.		☐ Yes	[_] №
non-sample persons or	մ <b>y</b>	· · · · · · · · · · · · · · · · · · ·		· ·			
<u> </u>	□ No	☐ Yat	[] No	Yes	[] No	Yes.	<u></u> №
Yes	□ No	Yes Yes	א 🗆	□ Y••	<b>□</b> №	Yee	[] No
Ye*	№	□ Y••	□ No	🗋 Yes	ok []	☐ Yee	No
Service	a a substant	March 1990		CARLES AND			<b></b>
FILL ITEM R. THE	N FILL TAB	LE C-2 FOR CONDITIO	SHS REPORT	ED FOR NON-SAMPLE	PERSONS	,; 12 4 <sub>13</sub> ,	•
			State of the second				
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					en de la constante de la const La constante de la constante de		• .
<u></u>	<u>) (1</u>		2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	n an		l sel la companya di seri denera de la companya de La companya di seri de la companya de la companya di seri de la companya de la companya de la companya de la com La companya di seri de la companya de la companya di seri de la companya de la companya de la companya de la com	
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		·				•	
Responded for se	-	Responded for se	-	Responded for self		Responded for self	
Colwas responde	(?)	Col	()	Colwas responden	" (O)	Colwas respondent	$\odot$



٢			<u> </u>			TABLE C-1 (For SP ONLY	): Fill on	e line of Table C-1 for
Line number		Ques- tion No.	Name of condition as reported in Questions 9-17	Did you EVER ci eny time tolk to a doctor obsur ?	Ask for all illnesses and present effects of old injuries: (a) If doctor taked to: What did the doctor say it was? did he give in a medical name? (b) If doctor not talked to: Record original catty and ask: (e-2)- (e-5) as required. Ask for all injuries during past 2 weeks: What part of the body was hun? Mhot kind of injury was it? Anything else?	Ask if the entry in Col. (* 1) is: An Impeirment, or s Symptom What was the cause of ?	Ask only if: of years of or over and blind- ness, poor eye trouble of any kind con yeu see well enough to ready erdinary newspoper print with glasses?	Alletgy <sup>®</sup> Tumor Asthms ''Condition' Cyst ''Disease''
	()	(b)	(c)	(d)	(e-1)	(e-2)	(e-3)	(=4)
ſ	G			Yes   No		E E	□ Yes X □ No	
2	œ			□ Yes □ No		I	Ven X	x
,	œ			I Yes		Ŧ	□ Yes ×	X
4	(SP)			□ Yes □ No		I	□ Y== × □ No	T
5	☞			□ Yes □ №		x	□ Ye∎ x □ №	x
6	(SP)			□ Yes □ No		I	[] Ye∎ x □ No	x
7	9			☐ Yes ☐ No		×	□ Yee <sup>≭</sup> □ No	I

	-			_		T/	BLE H (For	SP ONL	.Y):	Fill o	ne line	of Table H for each hospitalization	
	Col. No. of	Ques- tion No.	You sold that yo (once, twice, et	ou wara in ( c.) during t	ha kospital ha past yeut ++	How many nights were you in the	Complete from and (d); or, si questions	o catrica xxx cale	in Col adar e	umins ( od as)	r) (23	For what condition did you enter the hespital do you know the medical name?	
	son		When did you en last time)?	iter the hos	pital (the	hespitel? (If exact number not	Hew many of these	these -	•	} ≪ore you  st.⊡ In the		(Il medical name not known, enter respondent's description)	
Libe			(Enter month, da date not known,	obtain esti	and yeat; if exact knows sain estimate.) accept estim		nights were in the pest 12 months?	nights v lost we the wee before?	ek or ik	Lospical on lest Sunday night?		(Entry must show "Cause," "Kind," and "Part of body" in same detail as required in Table C-1)	
1						(d)	(e)	1	L		B)	(b)	
	(m)	(6)	Month	( Dey	Year _	Nighte	Nighes	Nighte	None	Yes	No	(==	
I	9			:     	1 1				   				
2	Ð		1	   	   				1		   		
			-	<u> </u>	!	ļ		_	<u> </u>	<u> </u>			
3	9			     	1 1 1				•     		   		

FORM HHS-5-15-2 (5-4-62)



each condition reported in (	Questio	ns 9-11	7 for th	e Sam	ple Pe	rson.				_				
Ask only for: Imperiments and injuries And for: Abacesses Inflammation Aches Neuralgis Bleeding Neurits Blood Clot Pains Boile Sores Cancet Sores Cyst Tumor Growth Ulcers Infection Weskness What part of the body Is offected? Show detail for: Ear or eye. (One or both) Hend - (Skull, scalp, face) Bock. (Upper, middle, lower) Arm - (Shoulder, upper, clbowr, lower, wrist, hand; one or both)		k: Id ep iggt or fhe or or ?	of day check "None and as Col. (1	lid ep ab iness esk wesk ? number s, or  k	2 wes perio mony did keep in be- ail or of the lf sny "day: enter Cols. or (b) to Co	dhaw days most day? td in (g) skip 1.(k)	"None checke Cols. ( (h): LAST OR TH WEEK BEFOS cat to cut the thi usually	d in g) and WEEK E dawn on igs you do?		cs la wh	When did you first notice ? (Check the first hox which applies)	When did you last see or solk to a doctor abour ? Enter month and year if dwirg past 12 months: "before 12 months" or "never" box	Ask only if doctor seen duing the past 12 months: Duing the past 12 months obout how mony times hove you seen ar talked to o doctor obout ?	Line number
Leg · (Hip, upper, koce, lower, snkle, foot; ane or borh) (e-5)	(f Days	4	(8 Deyz		(t Days		( Yes	i)  ! No	(j) Yes h	vo l	(k)	(1)	(m)	
x		     1		1						- ji	last 2 wks. [] before   2 uks3 mo. 12   3-12 months mosths	M/Y []] B. 12 mo. []] Never	No. of times	,
I		, , , ,						,,		-  i	1 sar 2 wks. 1 before 2 wks3 mo. 12 3-12 months months	M/Y [_] B. 12mo. [_] Never	No. of times	2
I	DOES	мот		1				,     	1		☐ last 2 wks. [` ) before ☐ 2 wks3 mo. 12 ☐ 3-12 months meaths	M/Y []] B. 12mo. []] Never	No, of times	3
R	AP	FLY		   				1	1	- 1	☐ last 2 wks. ☐ before ☐ 2 wks3mo. 12 ☐ 3-12 months months	{_] B. 12 mo.	No. of times	4
				   				1   	1	ļ	lest 2 wks. [] before 2 wks.+3 mo. 12 3+12 months , months	M/Y B. 12 mo. Never	No. of times	5
		1						   		- 1	□ 1881 2 wks. [] before □ 2 wks 3 mo. 12 □ 3-12 months moaths	M/Y B. 12 mo Never	No. of times	6
r .		1		1				1	1	-  i	☐ last 2 wks. {  before ☐ 2 wks.+3 mo. 12 ☐ 3-12 months months	M/Y [] B, 12 mo. [] Never	No, of times	7

Were any operations performed on you during this stay at the hospital?	What is the name and address	What is the name and address of the hospital you were in?							
If "Yes," ask: G. Whot was the name of the operation?	(Enter full name of hospitol, street or highway on which it is located, city and State; if city not known, enter county.)								
b. Any other operations?			VIEWE						
(i)		(j)	·After						
Ves I if "Yes," name of operation, erc. No	Name of hospital	Address	Complet						
		Street							
		City and State	Table go to						
		Street	Table						
		City and							
		Street							
  ,		City and State							

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					TABLE C-2	FOR NON-SAMPLE PERSO		Fill one line of Table C-
interest of the second s	Col. No. ol per sce	Ques- tion No.	Name of condition as reported in Questicos 9-11	Did yew EVER at any time talk to a deeker about ?	Ask for all illnesses and present effects of ald injuries; (a) If doctor talked to: What did he desses on it wes? did he give it a modian norms? (b) If doctor not talked to: Tacord efficial satry and obti (2) - (3) as required. Ask for all injuries dwing past 2 weeks! What part of the body was hurt? Anything else?	Ask if the entry is Col. (* i) is: An impairment, of a Symptom What was the sause of ?	orroogens)	Ask for any satty is Col. (-2) or Col. (-2) that feeludes the words: Allergy <sup>6</sup> Tamor Asthan "Conditioe" Cyst "Conditioe" Strake <sup>6</sup> "Trouble" Whet kind af is iff <sup>9</sup> For an allergy or stroke asti How does the silorgy (stroke) affect your
	(1)	(b)	(e)	(4)	(==1)	(2)	(o-3)	(=-4)
1				Yes No			Vee *	
2				Yes No			Ves Ne	E.
3				Ves No		1	□ ¥** *	*
4				Vee No			□ Y•• *	
3				□ Yee □ No			☐ Yes <sup>1</sup> ☐ No	*
6				Vea No	]		Vee T	
7				Yee   No			Ves *	•
8				Ves No			U Yes "	2
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20				□ ¥•• □ №•			□ ¥•• <sup>≭</sup> □ №	
-	DOTNO	TES					GQ TO FR	ONT OF QUESTIONNAIRE
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sk only fot: impsimeets and injuties and for Abscesses inflammation Aches Newsits Bleeding Newits Bleeding Newits Bleeding Newits Bleeding Newits Sore access Cancer Soreness Crat Tumor Growth Uicers infection Waskeess Phot part of the body is offected? Show detail for: Ear or eyes (one or both) Heod (Skul, scalp, face) Bock (Uper, middle, lower) Arm - (Shoulder, upper, elbow, lower, wrist, hand; one or both)	If 6-16 old and How me days all ha you fro scheek week o week o Eater c of days check Ead ak Gol. (b	d in in oforef inter or 'Noog' ip to	last v or the before	nany did oop an ab ikess reak weah s? number s? o ' a '	During 2 week period many d did keep y in bed zout e day? If say cols. ()	hew eys all or f the "days" d in (g) or p to	Ask ONL "None" In column (g) and (l LAST W) THE WE BEFORE to cut do mb thing woully « If "Yee" to Col. (k)	checked ns (f) or b); EEK OR EK I did in you wm on in you dot ' checked (j), If	Ast on "Yea" column Did yet to cut u for as i as a do	(i): have iown wch	When did you first notice ? (Cbeck the first box which applice)	rat	
Leg • (Hip, upper, knee, lower, ankle, foot; ons or both)		0	<u> </u>	) 	(	b)		i) — — — — — —	44	)	ĺ		
(e-5)	Days	None	Days	None	Days	None	Yna	No	Yes	No	(k)		
, , , , , , , , , , , , , , , , , , , ,		     		•							☐ Inst 2 wks. ☐ b- ☐ 2 wks3 mo. 1: ☐ 3-12 months m		
1				i		   		+     			last 2 wks.   b   2 wks3 mo. 1/   3-12 months m		
		t t 1		i	1	     					last 2 wks. be   2 wks3mo. 12   3-12 months m		
i				1		1		     			last 2 wks.   b   2 wks3 mo.   1   3-12 months m		
				t 1 1		1     					last 2 wks. (		
	r		ļ	r     				1 1 1			2 vts3 mo. 1	efore 2 ioath	
	K	1     		r 7 1							last 2 wks. b   2 wks3 mo. 1:   3-12 mouths m		
	K	   	1							     	last 2 wks. [] b   2 wks3 mo. 11   3-12 months m		
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	x -		1									e lori 2 soath	
	<u> </u>	<u> </u>	<u>[</u>	·	<u>,                                     </u>	<u>.</u>	<u> </u>		<u> </u>	<u> </u>			



		me of Sample Peri			
P-1.	(Boos not apply)				
••2.	At the present time are you regularly taking any modiaine or treatment for an condition? If "Yes," esk:	· <b>"</b> [C	Y		
-	a. For what condition?				
··I.	Do you have any condition which often causes you pain or discomfort? If 'Yas,'' ask: a. What is the condition?		] Y		
•-4.	Do you have any boolth problem which is a source of warry to you ar other a your family? If "Yes," set:	ombers of	 Yet		
	a. What is the problem?				
<b>.s</b> .	(Deee not <b>eppi7)</b>				
-6.	In general, would you say your health is excetient, good, foir, or poor?		Excell Fair	lent 🗍 Good	
	D RESPONDENT CARD TO P-7 (FORM NHS-5-13-6) Listed on this card are several conditions. Please place an "X" opposite people would talk about each condition in an interview like this — that is, li	ach candition wh they or some cit	ich indicates how fr er member of their fi	eely you think mos smily had the cond	t other Itlen,
•8.	u. Did you work at any time during the sest 2 weeks? If "No." sek P-B-b and P-B-::		] Yes		
	b. Even shough you did not work during that time do you have a job or busin.		Yes	· · · -	
	c. Were you looking for work or on layef( from a jab?		Yes		
<b>••9</b> .	What is the name and address of the dector or clinic you usually go to for 3 medical advice or treatment? a. During the past 12 ments about how many times did you see or visit (dor		lumber of times		
	or clinic named)? for yourself b. Besides (the doctor or clinic named above) did you see or visit any other				
		doctor _			0.445
	during the past 12 months?		Yes	No 100 10	P-10)
	during the past 12 months?		Yes	No (Oo to	P•10)
	during the past 12 months?			<u></u> № (0e te	P-10)
	during the past 12 months?		inne and address	No (Oo to	
	during the past 12 months? If "Yes," ssk: Who was this? (Enter news and address) c. How many times did you see him during the past 12 months?		inne and address		
	during the past 12 months? If "Yes," sek: Who was this? (Enter name and address) c. How many times did you see him during the past 12 months? d. Did you see any other doctors during the past 12 months?		ame and address		
	during the past 12 months? If "Yes," sek: Who was this? (Enter news and address) c. How many times did yes see him during the past 12 months? d. Did yes see any other doctors during the past 12 months? If "Yes," sek: Who was this? (Enter news and address)	mation persons form records	iame and address	No (Co to	P-10)



# Version Three of Questionnaire

The Nation	al Health Su	rvey la au	thorize	d by Public L	<b>w</b> 652	of the B4th Con		70 Stat. 489: 4				eau No. 68-R620-	
petmit ide	atification of	the indiv	idual w	ill be held at	ricily c	onfidential, wi other purposes	ll be u	ed only by per	2008 C	ngeged in and :	for the	purposes of the	:
FORM NHS-S (8-4-82)	-13-3	AC	TING A	EPARTMENT C	E CENS	US F FOR THE				1. Question	ion Re		
				PUBLIC HEAL						of			
<u> </u>	. Addres				11 30					Question			
2. ADDRES		s of desci	ilition (	I IOCATION						3. Assignm	CT NO.		
	b. Mailing	address	if not a	hown in (a): i	nclude	city and State				4. Serial No	<b>D</b> ,		
eic7 (Sho	these income w Catd H), i relotives, et	include in	epresen come fr	te your total fo om all sources	mily in , such	come for the pa as woges, sala	ries, re	months, that is, ints from prope	, your's rty, per	, your's, sions,	Grou	P	
6. That is t	ne telephone	number h	oro?		Telep	bone No.					] None		
As I ment only. In t		, in each ie	househo		na spec	been complete lol quantions o _(Sample pers	bout or	e person for hi	Imeelf				
						(E	nter be	at time to call)	· • · · ·	Date		Time	
8. RECDRD DF		ltem	r	1	Com.	2	Com.	3	Com.	4	Com.	5	Com.
CALLS AT HOUSE. HDLDS	household		Date  Time										
	Record of Collbacks for Sample Person	Col.No. SP	Date  Time										
9. REASON		TYP	EA			יי ד	YPE B	·			TYI	PE Z	·
FDR Non. Inter View	Tem		hsent	nted calls		(Specity. e.g., moved to	Semple 	o lamily olc.)		Sample F (Specify rea	-		
10. Signature	t e of interview	ver	_							11. Code			
FOOTNOTES													
	•											USCOM	



t					
column) b. What are th live bers) c. Is there an temporarily d. Away on b e. On a visit?	name of the head of this household? (Enter name in appropriate the names of all other persons who live here? (List all persons who yone else who lives here who is now No Yes (List) in a heapital?	Last name	æ	Lest name 	() 
2. How ore you	yone else staying hera now? [] No [] Yes (Liei) related to the head of the household? (Eater relationship to mple: beed, wife, dsughter, grandson, mother-in-low, etc.)	Relationship		Relationship	
3. How old were	you on your last birthday?	Age 🗌 Under	l year	Age	Under 1 year
4. Roce (Check	one box for each person)	White Negro	Other	□ White □ Negr	o 🗌 Other
5. Sez (Check of	ne box for each person)	☐ Male ☐ Female		- Male - Female	
6. Are you now I	d or over, ssk: warried, widowed, divorced, separated, or never married? ox for each person)	Under 17 years Married Sepa Vidowed Neve Divorced Marr		Under 1 Narried [ Vidowed [ Divorced	7 years Separated Never Martied
7. a. What is th (Circle big	d or over, sak: s highest grads you attended in schoolf best grade extended or check "None")	Under 17 years Elem: 1 2 3 4 5 6 7 8 High: 1 2 3 4 College: 1 2 3 4 5+	(\$P)	Under 17 years Elem: 1 2 3 4 5 High: 1 2 3 4 College: 1 2 3 4 5 None	
b. Did you fi	nish the ++ grade (year)?	Ye No		1 Yee 7	No
B. c. Whot were (For males (For femal	d or over, ssk: you doing most of the past 12 months – ): working or doing something else? ca): kseping house, working, or doing something else? else'' checked, and petson is 45 years old or over, ssk:	Under 17 yeers Working Keeping bouse Something else		Undet 17 years Vorking Keeping bouse Something else	
b. Are you re	tired?	Yes	□ No	Yes	No No
	g with Question 9, you must interview the sample person for him- eck the appropriate box and follow the indicated order of asking ions.	Sample Person bome a	nd svaile	ble – sek SAMPLE F	
9. Were you sick 2-week period p. What was b. Anything of		Yes .	No	TYe.	No
		Yes	No No	Yes	No No
11. Last week or a. What were b. Anything o		Yes Yes	N₀	Yes	C No
12. Did you ever offecte you ir	have an (any other) accident or injury that still bothers you or any way?	Ves .	No		anna to the Canada
s. In what we b. Anything e	y does it bother you? (Record present effects) lse?				
13. Have you EV	lent conditions card with "A" side up and pencil, then say: ER hod ony of the conditions listed on this cord? Please check lo" for each one listed.	All No's			
14. Have you had	at to tura card over (to "B" side), thea say: ony of these conditions DURING THE PAST 12 MONTHS? "Yes" or "No" for each one listed.	All No's			•••
your haolith	t time do you have any other aliments, conditions, or problems with besides any you may have checked on the card or any that you told condition? (Record condition itself if still present; otherwise	Yes	□] No		
tecord pres	iest effects) probleme with your health?				
lí "Yes,"	een in 6 hospits) at any time during the pc at 32 months? ask: times were yeu in the hospital during that period?	YesNo. of times	□ N₀		
during the If "Yes," es	neen a potient in a nursing home, rest home, or ony similar place past 12 menths? k: Nimes were you in a nursing home or rest home during that period?	Yes No. of times	□ No		
R	For non-sample persons 17 years old or over, abow who responded for $Q, 9-11$ . For persons under 17 abow who responded for them.	Responded for self	9	Col. was responded	0



Last name	0	Last name	0	Lest DEDS	Ū	Last name	O
Fixet name and initial		First name and initial		First name and i	pitiel	First name and i	aitis1
Relationship		Relationship		Relationship		Relationship	
Age Under	1 year	Age 🗌 Under	1 year	Age 🗆	Under 1 year	Age 🗌 Ur	ider 1 yeat
White. Nagro	Other	White Negro	Other	White []]	Negro 🛄 Other	White N	egro 🗌 Other
		Male Female		Male Female	_	Male Femsle	
Under 17 yr Married Sep Uidowed Nev Divorced Nam	er er	Undet 17 ya Narried Separ Widowed Neved Divorced Marrie			er 17 years Separated Never Narried	Under Married Widowed Divorced	17 years Separated Never Married
Ubdur 17 years Elem: 1 2 3 4 5 6 7 8 High: 1 2 3 4 College: 1 2 3 4 5 None Yes None	3	Under 17 years Elem: 1 2 3 4 5 6 7 8 High: 1 2 3 4 College: 1 2 3 4 5+ None Yes None	۞		45678 4 45+	Undet 17 yer           Elem:         1 2 3           High:         1 2 3           College:         1 2 3           None         Yes	45678
Under 17 years Working Keeping bouse Something else		Under 17 years Vorking Keeping house Something else	_ ~	Under 17 ye Working Keeping box Something e	14 C	Under 17 yer Working Keeping bou	
Yes	□ N•	Yee		Yes		Yes	No
and Tables C-1, H and P acomenant persons only.	for himsel	If. THEN ask Q. 9-11 and I	Table G-2	for non-sample pe	18008.		
Yee Yee	□ No	Yes	No No	Yes Yes	No No	Yes .	No
□ Y••	□ No	Y**	□ No	Yee	🗖 No	Yee	No No
Yes	□ No	Yes	□ N•	🗌 Yes	[ No	Yes	No
FILL ITEM R. THEN F	ILL TAB	LE C-2 FOR CONDITIONS	REPORT	ED FOR NON-SA	MPLE PERSONS		
		en de la Care de			1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1		
					<b>1</b>		
	,						
Responded for self	0	Responded for salf	0	Responded	-	Responded i	-
Colwas respondent	<u> </u>	Colwas respondent	$\odot$	Colwes res	opondent	Colwes res	poadent ()



			2.220 mmmmmmmmmmmmmmmmmmmmmmmmmmmmmmmmmm	فكرو ملكرة ومقاربه				TAD	LC C-1 (	Per B	P CXL	Y): Fi	ill cae line	of Table C-1 for each
Line number	Col. No. ol per- sco	Quer- tion No.	Name of coc reported in 9-17		Dié yes EVER st eny tiese telà to a doet coort ?	<ul> <li>(a) If doct</li> <li>What did if</li> <li>if woa?</li> <li>a modical</li> <li>(b) If doct</li> <li>Record ask:</li> <li>(require</li> <li>Ask for al past 2 word</li> <li>What part 4</li> <li>word 4</li> </ul>	fects o or tall he doe . did nemol or not l origin e-2)- rd. l injur that of tho	fold injeries: ted to: the give it talked to: nal entry and (~5) as	An Impei or a Sympto	innest, m		-	Ask oaly if: is years and binec- oeas, poor vision, or eye trouble of say kind Cea yee soo well ensugh to reca crdinery point with glossen?	
	(=)	(b)	(c	)	(d)		(e-1	)		(e-)	2)		(e+3)	(e-4)
	6	•			Ves No							I	□ Yes ¤ □ No	П
2	<b>B</b>				Ves No							z		
,	0				☐ Yes ☐ No	·						R		
4	8				☐ Yes ☐ No							x	☐ Yes ¥ ☐ No	E .
-  ,	Ð				Yes   No								□ Yes z □ No	E
6	6				☐ Yes □ №							5	U Yes X	E
,	Ð				□ Yes							x	□ Yes <sup>¥</sup> □ No	T
- <u>h-</u>			<u> </u>		<u> </u>	TA	ALŔ	M (Par SP C	N.Yh	Fill c	ae lise	of Te	ble H for e	ach hospitalization report
Col.	Quei tica Na		sold that you is, twice, ate	.) darleg fé	in post yes	How a	acay 1 viena	Complete ins	a estries	in Col	eccas (	c)	Por whet a	endition did you enter the do you know the modical
P81- 802		(Ee	a did yee oni thao)i ter month, da teot known, d kaath	y and year;	if esset	yse is bespi (If ex nambe knows accep estin Nig	ac: Host t best ate)	How many of theory	How ms those - uights v isst wo the wos before? (f) Nights	al er	Vere j still h hospis last & night i Yes j	න් හෝ ගන්නෙ ප)	respondent (Entry mus and "Part	uance aot known, ester 's description) t show "Cance," "Kind," of body" in sease detail an Table C-1) (b)
C		+						47.48 lat 0		1				<u></u>
e	,	+												
e	,				<b></b>			<u> </u>		, , , ,				<u></u>

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FORM NH0-0-10-5 (9-4-02)

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condition reported in Questi	t	_										-1-
sk only for: Impairments and injuries Nat for: Abaceases Inflammation Acheas Neuralgis Bleod Clot Paina Boils Soreas Cancer Janes Cancer Janes Growth Ulcers Growth Ulcers Infection Yeakness That part of the body is offected? Show detail for: Sor or eye - (one or both) Isod - (Skull, scalp, face) Sock - (Upper, middle, lowet) urm - (Shouldet, upper, elbow, Iower, wrist, bandt, one	If G Id pid asi naw m days d key you fro school weat b	any Id P	How m doys d ke you for or bus lost we before Enter i of day: check ''None and as Col. (i	id op iness ob wesk wesk 7 number s, or 2, k	During that 2 wark periad how many days did keep you in bed oil or most of the days of the days to fus days catched in Cois. (g) ca (h) skip to Col.(k)	"None" checke Cols. () (h): LAST N OR TH WEEK BEFOR	din g)and WEEK E REdid aseyou down on	Ask ONLY if 'Yes' in Col. (i): Did you have to cut down for as much as a day?	When did you first natice 7 (Check the first box which applics)	When did you loat sas or tolk to a doctor about ? Enter month and year if during past 12 months; otherwise check ''beiore 12 months'' or ''never'' box	Ask only if doctot seen months: During the past 12 months about how mony times have you seen or tolked to o doctor obout?	
or both) .eg - (Hip, upper, knee, lower, ankle, foot; one or both) (c-5)	/"		(g) Days		(h) Days None	(i 	) ! No	(j) Yes No	(k)	(1)	(m)	
(c-)) I	0.,.	1 India (	1	+	Days Hone		+	163 100	last 2 wks. Defote	(I) M/Y	(m)	+
		   	ļ				, , , ,		2 wks3 mo. 12 3-12 months months	B. 12 mo.	No. of times	
Ĩ				, , , , ,			i		1891 2 wks.   before   2 wks3 mo. 12   3-12 months months	N/Y	No. of times	
×	DOES	тон		r     			1 2 1		last 2 wks.   before 2 wks3 mo. 12 3-12 months months	M/Y B. 12mo.	No. of times	1
z	AP	PLY		;     			   		☐ last 2 wks. ☐ before ☐ 2 wks3 mo. 12 ☐ 3-12 months months	□ B. 12 mo.	No. of times	
T		<del>}</del>   	i	+ L I I			   		last 2 wks.   before   2 wks3 mc,  2   3-12 months months	M/Y B. 12 mo, Never	No. of times	
¥		t     		t     			   		last 2 wks.   before   2 wks3 . n. 13   3-12 months	N/Y B. 12 mo.	No. of times	-
		, – ,	1	1			1 1 1		1 last 2 wks. belote 2 wks3 mo. 12 3-12 months month.	N/Y B. 12 mo.	No, of times	-
in Questions 18 or 19	). (If n	o hosp	italiza	tion re	ported go t	o Table	P)	<u> </u>				_
Were any operations p during this stay at the If "Yee," ask: a Whoe was the nome operation? b. Any other operation	of the	l on you Il?	,	(Enter	full name of	hospita	l, sttee	t or highv	ol you we, p in? way on which it is nter county.)		NGTE TO Inter- Viewer	-
(i) Yes IIf "Yes," name of		ов, etc.	N₀		Name of ho	spital		<u> </u>	()) Addreas		After Completing	
								Street City and State			Table H go to	
								Street City and State			Table P	
								Street City and			Į	

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Γ					TABLE C.2	POR NON-SAMPLE PERSO	NS ONLY	Fill one line of Table C-
Litse auxber	Col. No. of per- scs	Que p- tica No.	Name of condition as reported in Questions S-11	Did you EVER of sny talk to o dak to o ebout T	Ack for all illnesses and present effects of old injuries; (a) If doctor talked to: What clid the doctor coy it wan? - a did ha give it a medical nears? (b) If doctor not talked to: Record original entry and esk: (a-2) - (a-5) as required. Ack for all injuries during past 2 weeks: What part of the body was hurt? What part of the body was hurt? What part of injury was it? Anything also?	Ask if the entry in Col. (-1) is: An impercent, or a Symptom Where was the couse of ?	Ask cally if: Gyears old blindness, poor vision, or eys trouble of any kind. Can you ase well encergh to rood ordirary newspopor plint with glosses?	Ask for any sorry in Col. (e-1) or Col. (e-2) that includes the words: Allergy <sup>®</sup> Tumot Asthms "Condition" Cyst "Disease" Stroke <sup>®</sup> "Trouble" What kind of is it? <sup>®</sup> For an allergy or stroke ask; Hew does the allergy (stroke) offect you?
	(a)	(b)	(c)	(d)	(e~1)	(*-2)	(e-3)	(0-4)
1				□ ¥•∎ □ №		T	□ Yee × □ No	1
2	_			Ves No		x		x
3				T Yee No		<u>я</u>	☐ Yes × □ №	x
4				Vea No		T T	□ Yee * □ №	Ĭ
5				Yee No		T	□ Yea * □ No	
6				Vee No		T	Yes X	T
7				Yee No		X	Ves X	T
8				Yee No		T	□ Yea X □ N2	*
9				Vea No		*	☐ Yea X ☐ No	x
10				□ Ye≉ □ No		3	□ Yee X □ No	Ĩ
FC	OTNO	TES			GD TO PRONT OF QU	IESTIONNAIRE		

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Aches Net Bleeding Net Blood Clot Pais Soils Sor Cancer	isomation aralgia aralgia tris co coss coss coss coss ders skness vis offacted? vis	If 6-16 old asl How m days d school week o week b Enter of day: check and sk Col. (b	ri any id ap mat last r the efore? aumber or "None" ip to )	Ask: How i days you fi your i or bui last v or the before	many did twep oom ob siness voek soek soek soek soek soek soek soek s	entered Cols. ( (b) ski Col. (k	hcw ou oll or the the lin g) or p to )	in column (g) and () LAST WE THE WE BEFORE to cut do the thing usually d W "Yea" ask Col. "No!" sk Col. (k)	h): EK OR EK did se you wn on s you for checked (j). If ip to	Ask on Tryes Columno Did you to cut for as i os o do	(i): u have dewn much wyt	When did you flest notice ? (Check the first boz which applies)
			(1) r	(i		+	») 		i} 			
(~)		Days	None	Days	None	Days	None	Yes	No	Yes	No	(k)
	x		   									☐ last 2 wks. ☐ befo ☐ 2 wks.•3 mo. 12 ☐ 3-12 months mont
	x	+	<u> </u>		 	f			·			last 2 wks. Defo
			}						   		_	2 wks3 mo. 12
	x		1		i		i					☐ 1sst 2 wks. ☐ befor ☐ 2 wks.+3 mo. 12
	x	<u>+</u>	 						1	-		13-12 months month last 2 wks. befor
			     _						1 1 1			2 wks3 mo. 12 3-12 months month
	z		1 1 1		i				,,,,,,,,			last 2 wks.   befor   2 wks. 3 mo. 12
	z		   				<u> </u>		   			] 3-12 moaths month ] last 2 wks. ] befor ] 2 wks3 mo. 12
			} 		   	[						3-12 months month
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		┣	<u>i</u>		r		<u> </u>		<u>i</u>			3-12 months month
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	I		1		1							☐ isst 2 wks. ☐ befor ☐ 2 wks.•3 mo. 12 ] 3-12 months month
			I		l		l	L	I			



TABLE P Name of Sample	Person	
P.1. Howe your over been advised by a dactor to light the encount or to avoid antirely cortain kinds of food a boverages? If "Yes," ack:		№
a. For what reason or exactlion? b. Are you still following this advice?		 No
P-2. At the present time are you regularly taking any modicine or treatment for any esadition?	 Y	N
B "Yee," >>2: a. For what condition?		
P-2. Do you have any condition which often course you poin or discanfort? If "Yee," solar o. Whet is the eachlien?	T Yes	No
P-4. Do you have any hactth problem which is a source of warry to you ar other mambers of mour family? W <sup>Tr</sup> Yee," salu	T Yee	<u>⊡</u> №
a. What is the problem?	<u>- [·</u>	
P-b. (For sales): Are you limited in any way in the canount or kind of work you can de because of your health? (For females): Any you limited in any way in the amount or kind of housework you can be because of your health?	<b>T</b> Yos	<u>□</u> №
U "Yes," sok: •. What condition causes this?	24 h	-
P-6. In general, would you any your health is axealiant, good, fole, or poor?	BEcellest Good	
HAND RESPONDENT CARD TO P-7 (FORM NHS-S-13-6) P-7. Lictod on this cord are several conditions. Ploase place on "X" appesite each condition people would talk about each condition in an interview like this — that is, if they ar com	or which indicates hew freely you think mes so other moment of their family had the condi	t other Ition:
P.3. a. Did you work on any time during the peat 2 wooks? If "No." ask P-0-, and P-0-ct	Yes	№
b. Boon though you did not work during that time do you have n [ab or business? a. Ware you feaking for work or an levoff from a job?	- Yes - Yes	□ № □ №
	Name and address	
P-9. When is the name and address of the dector or clinic you usually go to for YOUS OTTS condical advice or treatment?	· · · · · · · · · · · · · · · · · · ·	
s. During the past 12 months about how many times did you sos or visit (doctor or clinic momod)? for yourcelf	Number of times	
b. Desides (the dester or elinis named above) did you see or visit any other dester during the past 12 menthe?	Yes No (Os ro	P-10)
U "Yee," est: The was this? (Inter name and address)	Name and address	
c. How many times did you see him during the past 12 months?	Number of times	
d. Did you are any other dactors during the past 12 months?	Yes No (Qe te	P-10)
Li "Yes," ask: The was this? (Enter name and address)	Naze and address	
a. How many times did you see him during the past 12 months?	Number of times	
P-10. In conjunction with this survey we sematimes need to obtain additional information	HEDICAL AUTHORIZATION FO	RM
from modical and hospital records. In case you are solected as one of these persons for whom we wind to obtain additional information will you please eigh this form (present release - Form NHS-5-13-7) which ellows us to consult your health records to obtain this information. NOTE TO INTERVIEWER: If interview not yet completed for non-remple persons, go bock to	Befuerd: ichter regregt	

FORM HHS-D-10-8 (9-4-88)



## Physician Visit Record

	1712 941

PHYSICIAN VISIT RECORD --- NATIONAL HEALTH SURVEY

Form Approved Budget Bursay No. 68-8520-55

Monitor, if Possible,	Compiete Question	A Before Fallent	is Seen By Dos
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Patient's Last Name First Name Medical Record Number Declar's Nem Cials DOCTOR: Complete One Column of Questions 1 through 8 for: -Euch Separate Diognosis (Condition) or Impression (I.E., Diobetes, Hypertension, etc.) and Ξ -Each Separate Symptom (Joint Pain, Skin Rash, etc.) Not a Port of Diognosis (Condition) or Impression, Provided That the Diagnosia (Condition), impression, or Symptom Was Considered, Noted in Second, or Mentioned Today By Either You or the Patient. If More Than 2 Columns Are Needed, Use the Continuation Sheet If There is Ne Diagnosis (Condition), impression, or Symptom for the "atlent, Chock \_\_\_\_\_\_ and Print Reason for This Visit: \_\_\_\_\_\_ , Skip to Question 4. Column I Column 2 Print Medical Term Print Medical Terre (1). PRINT nome of diagnosis (condition), impression or symptom (medical terms if passible) . NO YES 1 (2). Was the diagnosis (condition), impression, or symptom mentioned by you today? Il yes, PRINT term used N0 2 NO 2 (3). Was the diagnosis (condition), Impression, or symptom mentioned by the patient today? YES 1 YES II yes, PRINT term used (4). When do you think the patient first became oware of the diagnosis (condition), impression or symptom specified in Question 1? Check one bez Check and bea 0. [1] a. Over 3 months age fī o. b. During past 3 months but before today ъ. E b. 🚺 c. Today с. 6 c ք d. Other d. Œ d. 🚺 fi T Made a Point of It ī Made a point of it (3). How much emphasis did you give today to the diagnosis (condition), impression, or symptom specified in Question 1? Played it down 2 1 **Flayed it down** 1 Ż Neither of these Neither of these 1 (6). At some time during the past week was this diagnosis (condition), impression, or symptom associated with PON'T KNOW Check etc hes 10 each line -... ta DON'T KNOW YES NO YES NO o. Marked or moderate pain Ē E 5 ĺ٦. **T** 5 b. Marked or maderato emotional stress 6, C,  $\mathbb{Z}$ 1 ь. Ľ 1 1 c. One or more days in bed £. 1 E 2 12 d. Other change in activity Ē d. ß 2 F e. Other trouble (PRINT) (7). Action taken foday related to the diagnosis No actica taken loday No action token tadoy (condition), impression, or symptom specified is Question 1. (Do not enter actions taken ONLY Check applicable barra for purposes of a routine physical examination. Check epsiloable bezes Action a. Medication 67 F 69 ο. b. Laboratory tests E 70 Ъ. 70 Ъ. c. X-roy exomination 71 c. Ē 71 ¢. 72 d. Felure visit to you T 72 d. e. Defarrol to other M.D. 73 . 73 ۰. F. Future hospitalization ۴, 74 74 t. g. Future surgery 75 75 0. h. Chonge in dier or driat 75 76 h. 5 I. Change in smaking 77 2 77 ۱. E 0 j. Bed rest 78. F 78 1 i. I. 4. Other change in activity 79 5 79 Π F I, Other action (P3INT) 40 80 Date of Visit\_\_\_\_\_



(8). Doctor's Signature.

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# Sample of Completed Physician Visits Record Summary

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## APPENDIX II

## DIAGNOSTIC RECODE<sup>3</sup>

Recode number	Title	International Classification of Diseases (Seventh Revision) inclusions as modified by NCHS
01	Tuberculosis (active) (inactive), all sites	001-007, 008, 009-S, 010-012, 014-019
02	Other chronic infective and parasitic diseases	020-029, 031-034, 036-039; 040-056, 057 excl. 057.1; 058-064; 070-074; 080, 082, 083.0, 084-096.8; 096.X, 100-138
03	Malignant neoplasms	140-205
04	Benign and unspecified neoplasms	210-239
05	Hay fever, without asthma	240
06	Asthma (with or without hay fever) (bronchial) (not otherwise specified)	241
07	Other allergic disorders not elsewhere classifiable	245 (242-244, 246-S not used)
80	Diseases of the thyroid gland	250-254
09	Diabetes (mellitus)	260
10	Anemia and other diseases of the blood and blood-forming organs, 3 mo.+	290-299
11	Vascular lesions of the central nervous system	330-334
12	Headache and migraine, chronic	354, 791
13	Specified mental disorders, not elsewhere classifiable	083.1, 083.2, 300-324, excl. 318.3
14	lil-defined mental and nervous trouble, not elsewhere classifiable, 3 mo.+	327-5 (318.3, 326.3, 326.4, 790.0, 790.2)
15	Diseases of the heart, not elsewhere classifiable (chronic rheumatic) (arterio- sclerotic) (hypertensive)	410-443 (782.1, 782.2, 782.4)
16	Hypertension, not elsewhere classifiable, with- out heart involvement	444-447

<sup>&</sup>lt;sup>3</sup>The recode categories 1-46 are the same as those used in the Recode 3 for the Health Interview Survey. Recodes 48-50 were included in Recode 47 in the original recode.



Recode number	Title	International Classification of Diseases (Seventh Revision) inclusions as modified by NCHS
17	Varicose veins	460, 462
18	Hemorrhoids	461
19	Rheumatic fever; arteriosclerosis, not elsewhere classifiable; other chronic diseases of the circulatory system	400-402, 403-S; 450-456, 463-468; 782.0, 782.3, 782.5-782.8, 782.X
20	Chronic sinusitis	513
21	Chronic bronchitis	502
22	Other chronic diseases of the respiratory system	510.0, 512, 514-517, 523-526; (480-493, 3 mo.+; 511, 518-522, 527, 783, if 3 mo.+)
23	Ulcer of stomach and duodenum	540-542 <sup>-</sup>
24	Hernia (abdominal cavity)	560, 561
25	Diseases of the gallbladder, chronic	584-586
26	Other chronic diseases of the digestive system	Any in 530-539, 543-545, 551-553, 570, 572-583, 587, 784.5-784.7, 785.0-785.3, 785.5, 785.7-785.X (784.0- 784.4, 784.8, 785.4, 785.6)
27	Disorders of menstruation	634
28	Menopausal symptoms, except psychosis	635
29	Urinary calculi; prostate disorders; other chronic genitourinary conditions	602, 604, 610-612; 620, 592, 594, 623; 591, 593, 600, 601, 603, 605-609, 613-617, 621, 624-633, 636, 637, 786, 789, if 3 mo.+
30	Chronic skin diseases	690-716, - if 3 mo.+ except 694
31	Arthritis and chronic rheumatism	725 (720-724 not used), 726.0, 726.1, 726.3, 727
32	Other chronic musculoskeletal disorders	730.1, 730.2, 744; - [731-733, 735, 738, 740-743, if 3 mo.+]
33	Fractures, 3 mo.+, no residual specified	800.9-829.9
34	Other injuries, 3 mo.+, no residual specified <sup>4</sup>	850.9-999.9 <sup>4</sup>
35	Severe visual impairment	
36	Other visual impairment	
37	Hearing impairments	
38	Speech defects	
39	Paralysis	
40	Absence, fingers, toes, only	
41	Absence, major extremities	
42	Impairments (except paralysis and absence), back or spine	

<sup>4</sup>Unspecified residuals, 3 mo.+, of dislocations, sprains, strains, are coded to X70.9-X79.9, by site.



Recode		International Classification of Diseases
number	Title	(Seventh Revision) inclusions as modified by NCHS
43	Impairments (except paralysis and absence), upper extremities and shoulders	
44	Impairments (except paralysis and absence), lower extremities and hips with any other site	
45	Impairments (except paralysis and absence), multiple not elsewhere classifiable, and ill- defined, limbs, back, trunk	
46	Other impairments	
47	Other chronic conditions, not impairments and not in recodes 48-50	All other ICD code numbers which may be chronic conditions
48	Chronic diseases of eye, not impairments	370-388, if 3 mo.+; 753.0, pt. 753.1
49	Chronic diseases of ear, not impairments	390-396, if 3 mo.+
50	Chronic organic nervous system conditions	340-350, 353, 355-369; pt. 753.1; pts. 780, 781, if 3 mo.+

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### APPENDIX III

### SAMPLING DESIGN

#### Introduction

The sampling design consists of the selection of the sample of respondents, the allocation of the sample to interviewers, and the procedures used in calculating the estimates.

#### Family Account Numbers and Medical Record

#### Numbers at KFHP

The main devices used in selecting the samples were the Family and Medical Record Numbers, which are now discussed.

On enrollment in KFHP, a new subscriber is assigned a seven-digit number called the Family Account Number. There is one Family Account Number for the subscriber and the covered members of his family.

For the subscriber the Family Account Number is also his Medical Record Number. Other members of his family are also assigned individual Medical Record Numbers which are in sequence after the Family Account Number for all members covered when the subscriber joins and which are the next higher numbers for those joining the covered membership—e.g., newborn infants at a later time. Thus, the Family Account Numbers are the Medical Record Numbers of the subscriber, and each member of KFHP, subscriber or not, has his own seven-digit Medical Record Number. The records for each person include both his Family Account Number and his Medical Record Number.

#### Population

For purposes of this study the population consisted of all members of KFHP that met the following requirements:

- They were members during the 6-month period January through June 1960 and during the study itself.
- (2) They were at least 17 years of age at the date of interview.
- (3) They were not members of the Culinary Workers Union.

#### Selection and Assignment to Interviewers

#### of the Interview Sample

Introduction. — The two main samples in the study were the PVR Sample, for which medical records were prepared, and the Interview Sample, a subsample of the PVR Sample for which interviews and comparisons with the medical records were made.

In this section the selection of these two samples, the weights of the elements of the Interview Sample, the interviewers' assignments, and the dates of beginning and terminating interviews are discussed.

Preliminary Sample. — The population from which the Preliminary Sample was drawn consisted of all subscribers to KFHP and the covered members of their families 15 years of age and over who were members of KFHP during the 6 months January through June 1960 and who were not members of the Culinary Workers Union.

The Preliminary Sample consisted of those with terminal digits 2, 5, or 7, and thus included approximately 30 percent of the population.

Physician Visit Record (PVR) Sample-allocation to five waves or sequences. --Using the data on number of visits to SCPMG of each person in the Preliminary Sample for the 6 months January through June 1960, the Preliminary Sample was classified into two strata-those who had made 0, 1, 2, 3, or 4 visits to SCPMG during the 6-month period and those who had made 5 or more visits during that period.

The PVR Sample consisted of an approximately 10-percent sample from the first stratum and an approximately 20-percent sample chosen from the second stratum, selected as indicated in tables I and II.

Table I. Sampling procedure for those making 0 through 4 visits during January-June 1960

Of those whose seventh digit (Medical Record Number) is	0	1	2	3	4	5	6	7	8	9
Include in the sample those whose fifth digit is	2	4	6	8	0	7	5	9	1	3

Table II. Sampling procedure for those making 5 or more visits during January-June 1960

Of those whose seventh digit (Medicøl k@cord Number) is	0	1	2,	3	4	5	6	7	8	9
Include in the sample those whose fifth digit is	2 6	4 0	6 3	8 5	0 7	7 4	5 8	9 2	1 9	3 1

For convenience in initiating the PVR record keeping and in the interviewing, the sample was randomly allocated to five waves or sequences of approximately equal sizes (see table III). Record keeping began at 3-week intervals for the five waves.

The PVR Sample thus selected consisted of 4,922 names. These were allocated to five sequences or waves according to the sixth digits of the Medical Record Numbers as stated in table III. The staggered beginnings of the waves facilitated both the operations of record keeping at SCPMG and the interviewing by the Bureau of the Census later on.

Table III. Allocation of sample to sequences or waves

The sequence or wave having iden- tification number	Consists of all persons in the PVR sample having sixth digit (Medical Record Number)	The date on which PVR's began to be filled out for the sequence or wave was-				
1 .	2 or 5	October 15, 1961				
2	1. or 8	November 5, 1961				
3	· 6 or 9	November 26, 1961				
4	0 or 4	December 17, 1961				
5	3 or 7	January 7, 1962				

Interview Sample-determination of weights. Approximately 11 months after the beginning of each wave, the number of visits of each person on the PVR Sample was tallied from the PVR's for that person. Using those data on number of visits, the Interview Sample was selected from the PVR Sample in accordance with table IV. Also, in table IV are given the weights resulting

from the combination of the 1960 visit strata and the study year visit strata.

Number o	of visits		
January-June 1960	Approximately first 11 months of study year	Sampling ratio	Weight
0-4	0	1 in 10	20
0-4	1	1 in 3	6
0-4	2 - 5	1 in 2	4
· 0-4	6 and over	A11	2
5 and over	0	1 in 10	10
5 and over	1 and over	A11	1

Table IV. Sampling ratios and weights for interview sample

Allocation of the Interview Samble among areas and interviewers—dates of interviewing.—With minor modifications, the service area of the Kaiser Foundation Health Plan was divided into four areas, three of which were in Los Angeles and the fourth which contained Fontana and nearby areas. The four areas are those of the present study.

After the Interview Sample was selected for a given wave, the addresses of its members were located and the sample was thus distributed among the four areas.

For each of the four areas, the Interview Sample was alievated at random among the three questionnaires. Because of problems of cost and administration, however, interpenetrating samples were not used for interviewer assignments within all areas. In the three Los Angeles areas, the interviewers shifted from area to area in different waves. In the Fontana area, the interviewers were the same in all waves.

One year after the beginning of the PVR record keeping for a wave, the PVR record keeping terminated. Interviewing of that wave then began and continued for 2 to 3 weeks afterwards. The only change from the original plans occurred in Waves 4 and 5 in order to avoid the possibly higher noninterview rates between Christmas and New Year. The dates are given in table V.



Table V.	Scheduled	and	actual	interviewing	dates,	by wave
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Wave	Schedul	ed dates	Actual dates					
wave	Beginning	Ending	Beginning	Ending <sup>1</sup>				
1	October 22, 1962	November 10, 1962	As scheduled					
2	November 5, 1962	November 24, 1962	As scheduled					
3	November 24, 1962	December 15, 1962	As scheduled					
4	December 17, 1962	<b>Ja</b> nuary 5, 1963	December 12, 1962	December 22, 1962 <sup>5</sup>				
5	January 7, 1963	January 26, 1963	January 3, 1963	January 16, 1963				

<sup>1</sup>In some cases, interviewing occurred after the stated ending date, but these were few in number.

 $^2{\rm The}$  change in dates for Wave 4 was primarily to reduce the amount of interviewing during the Christmas season.

Final changes in the sample.—During data processing, two changes were made in the sample to be tabulated. These were as follows:

- (1) All persons under 17 years of age on the date of interview were eliminated.
- (2) It had been decided earlier that only one person would be interviewed in any household. Consequently if any household had two members or more selected for the sample, all but one were eliminated from the Interview Sample, but the information for the sample person not eliminated was duplicated and in one instance triplicated.

#### Interview Sample for Which PVR's

#### Were Not Used

In any record-check study for which special records such as the  $P^{\nu}/R's$  are being prepared, there are always the possibilities that these special records are incomplete or inaccurate or that the respondent has become aware of the study sufficiently to influence his reporting. Consequently a further sample, called Wave 6, was selected as follows:

- The Wave 6 Sample was selected from persons in the Preliminary Sample who had not been selected for the PVR Sample but who had as a sixth digit of their Medical Record Numbers either 0, 3, 4, or 7-i.e., the sixth digits corresponding to Waves 4 or 5.
- (2) A 10-percent sample was selected from those with 0, 3, 4, or 7 as the sixth digit of their Medical Record Numbers in accordance with table VII.

Table VII. First-stage 10-percent sample from those having sixth digits identifying sequences or Waves 4 and 5

Of those whose seventh digit (Medical Record Number) is	0	1	2	3	4	5	0	7	8	9
Include in the first stage sample those whose fifth digit is	4	5	9	0	3	2	1	7	6	8

(3) The resulting sample, called the PC Sample, then consisted of a subsample of one in six of those selected in item 2 who had made 0 to 4 visits to SCPMG during January-June 1960 and a sample of one in three of those who had made 5 visits or more to SCPMG during January-June 1960.

For the PC, or Wave 6 Sample, medical records (PC) were obtained by using the patient charts (PC) the study year. The persons in the PC Sample were not in the PVR Sample, and no indication of their being in the PC Sample could have reached the physicians and, through them, the patients, because physicians were not involved in the preparation of the medical records (PC).

(4) The medical records (PC) were then used to select an Interview Sample that consisted of all persons in the PC Sample who had made at least one visit to SCPMG during the study year, and a sample of 1 in 10 of those was selected. Thus the weights for Wave 6 are 3, 6, 15, and 30.

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